

RICHFIELD FINANCIAL SERVICES LTD

2B, Grant Lane, 2nd Floor, Kolkata Kolkata West Bengal 700012

CIN: L65999WB1992PLC055224

E-mail : rfsl.nbfc@gmail.com, Website: www.rfsl.co.in

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The Manager,

01.11.2022

Listing Department

BSE Limited,

Phiroze Jee Jee Bhoy Towers,

Dalal Street, Mumbai-400 001.

Scrip code: 539435

Disclosure under regulation 30 read with the Schedule III of SEBI (LODR) 2015

Sub: Fine for Non-submission of the Annual Report within the period prescribed under Regulation 34 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Payment proof.

This is to inform you that the Company has received an e-mail dated October 21, 2022, from BSE Ltd. imposing a fine of Rs. 7080/- (inclusive of GST @ 18%) on the Company due to delay in submission of the Annual Report for the year ended 31st March 2022 with the period prescribed under Regulation 34 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and requiring the Company to pay the same within 15 days.

And the Company has remitted the fine of Rs. 7080/- (inclusive of GST @ 18%) to the Bombay Stock Exchange and the remittance details are uploaded in the listing center as per the details attached

Thanking you,

For Richfield Financial Services Limited

VADASSERIL CHACKO GEORGEKUTTY

Managing Director

DIN:09194854

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01.11.2022

To,

Listing Compliance,

BSE Limited,

Phiroze Jee Jee Bhoy Towers,

Dalal Street, Mumbai-400 001.

Scrip code: 539435

Sub: Fine for Non-submission of the Annual Report within the period prescribed under Regulation 34 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Payment proof.

Ref: Mail dated 21st October 2022 (Enclosed as Annexure - II)

We are submitting **Annexure-I** with details of Payment proof for the above levied fine on Regulation 34 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015.

Kindly accept and please take on record

Thanking you,

For Richfield Financial Services Limited

VADASSERIL CHACKO GEORGEKUTTY

Managing Director

DIN:09194854

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ANNEXURE - 1

Listing Compliance,

BSE Limited,

Phiroze Jee Jee Bhoy Towers,

Dalal Street, Mumbai-400 001.

SUB: REMITTANCE DETAILS:

Scrip Code	Regulation & Quarter	Amount paid	TDS deducted, if any	Net Amount paid	GST No.
539435	Regulation - 34 of SEBI (LODR) 2015, for the year ended 31.03.2022	7080 (Including GST)	NA	7080 (Including GST)	32AABCR1837L1ZF

REMITTED BY:

Cheque/DD.NO	Date	UTR NO. FOR RTGS/NEFT
NEFT	28.10.2022	N301222181611194

For Richfield Financial Services Limited

VADASSERIL CHACKO GEORGEKUTTY

Managing Director

DIN:09194854



LSA CHENNAI <lsacustomerservices@gmail.com>

Fwd: Fines as per SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020

3 messages

rfsi nbfc <rfsi.nbfc@gmail.com>

21 October 2022 at 17:29

To: LSA CHENNAI <lsacustomerservices@gmail.com>

Cc: Lakshmmi Subramanian <lakshmmi6@gmail.com>

FYI

----- Forwarded message -----

From: **Sonali Kurhade** <Sonali.Kurhade@bseindia.com>

Date: Fri, Oct 21, 2022 at 4:42 PM

Subject: Fines as per SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020

To: rfsi.nbfc@gmail.com <rfsi.nbfc@gmail.com>

Cc: bse.soplodr <bse.soplodr@bseindia.com>

Ref.: SOP-CReview-(21-Oct-2022)

To

The Company Secretary/Compliance Officer

Company Name: Richfield Financial Services Ltd**Scrip Code: 539435**

Dear Sir/Madam,

Sub: Fines as per SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020

The company is advised to refer to Circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020 issued by Securities and Exchange Board of India (SEBI) with respect to penal actions prescribed for non-compliance of certain provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Standard Operating Procedure for suspension and revocation of trading of specified securities of listed entities.

The Exchange had also issued a guidance note regarding the provisions of the said SEBI circular which is disseminated on the Exchange website at the following link:

https://www.bseindia.com/downloads1/Guidance_Note_for_SEBI_SOP_Circular.pdf

In this regard it is observed that the company is non-compliant/late compliant with the following Regulations for the period mentioned below:

Applicable Regulation of SEBI (LODR) Regulations, 2015	Fine prescribed *	Fines levied for	Fine payable by the company (inclusive of GST @ 18 %) October 21, 2022		
			Basic Fine	GST @ 18 %	Total Fine payable
Regulation 34 Non-submission of the Annual Report within the period	Rs. 2,000/- per day till the date of compliance.	For the year ended March 2022	6000	1080	7080

prescribed under this regulation		Late Submission			
Regulation 43A Non-disclosure of Dividend Distribution Policy in the Annual Report and on the websites of the entity.	Rs. 25,000 per instance	For the year ended March 2022			
Total Fine			6000	1080	7080

As per the provisions of the circular the fines will continue to be computed further till the time of rectification of the non-compliance to the satisfaction of the Exchange or till the scrip of the listed entity is suspended from trading for non-compliance with aforesaid provisions.

The Company is therefore advised to note that as per the provisions of this circular:

- The company is required to ensure compliance with above regulation and ensure to pay the aforesaid fines including GST within 15 days from the date of this letter/email, **failing which Exchange shall, pursuant to the provisions of the aforesaid circular, initiate action related to freezing of the entire shareholding of the promoter in this entity as well as all other securities held in the demat account of the promoter.** The company is advised to bring the provisions of this Circular to the notice of promoter of the company.
- Further in the event of this being the second consecutive year of non-compliance for the Regulation 34 would result in the company being transferred to Z group and liable for suspension of trading of its equity shares.
- The company is also advised to ensure that the subject matter of non-compliance which has been identified and indicated by the Exchange and any subsequent action taken by the Exchange in this regard shall be placed before the Board of Directors of the company in its next meeting. Comments made by the board shall be duly informed to the Exchange for dissemination.

(For assistance in login on listing center the company can contact helpdesk on Tel. No. 022-61363155 or email id: listing.centre@bseindia.com and for XBRL related queries company may contact on Toll free no. 18002330445 or send emails to bse.xbrl@bseindia.com).

In case of any further queries / clarifications please email at bse.soplodr@bseindia.com

Yours faithfully

Sambhaji Solat

Manager

Listing Compliance

Lalit Phatak

Associate Manager

Listing Compliance

Company is requested to remit the fine amount through electronic transfer to the designated bank - details given below:

Company Name	Richfield Financial Services Ltd		
Bank Name	Branch Name	Account No.	IFSC Code
ICICI Bank Limited	CMS Branch	BSER10928	ICIC0000104

or through cheque favoring **BSE Ltd.** The company is required to submit the cheque alongwith the covering letter (format given at Annexure I below):

Annexure-I (On letterhead of the company)

Listing Compliance, BSE Limited, Ground Floor,
P. J. Towers, Fort, Mumbai-400001.

Sub: Details of Payment of fines for Non-Compliance with Regulations of SEBI (LODR) Regulations, 2015.

Remittance details:

Scrip Code	Regulation & Quarter	Amount paid	TDS deducted, if any	Net Amount paid	GST No. (Mandatory to upload on BSE Listing Centre) (*)

****(In case GSTin No of company is not uploaded on the Listing Centre or Declaration for Unregistered Dealer is not received by us, company can not claim any GST input credit for the invoices raised by us.)***

Remitted by:

Cheque/DD No.	Date	UTR No. for RTGS /NEFT
-	-	-

Compliance Officer / Company Secretary.

- Please mention the Regulation No., Quarter, and amount of TDS deducted on the reverse side of the Cheque/Demand Draft.
- In case of payment through RTGS/NEFT, you are requested to send a soft copy of this annexure to bse.soplodr@bseindia.com

Thanks and Regards,

Sonali Kurhade

Deputy Manager – Listing Compliance

BSE Limited, P J Towers, Dalal Street, Mumbai -400001, India

This mail is classified as 'BSE - CONFIDENTIAL' by sonali.kurhade on October 21, 2022 at 16:50:06.

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