VSL/2019-20/SEC/28

10th October, 2019

The Manager,
Corporate Relations Dept.
BSE Ltd.,
19th Floor, P.J. Towers,
Dalal Street,
Mumbai - 400 001

BSE Scrip Code: 531950

Dear Sir,

Sub: **Compliance Certificate under Regulation 40(10) of the Listing Regulations**

Pursuant to Regulation 40(10) of the Listing Regulations, please find enclosed herewith a Certificate issued by the Practicing Company Secretary for the half year ended on 30.09.2019.

Kindly take the same on records.

Thanking you,

Yours faithfully,

For **VERTEX SECURITIES LIMITED**, 

Pranali Kadam
Company Secretary

Encl: a/a.
YOGESH SHARMA
COMPANY SECRETARY

Yogesh M. Sharma
M.Com, M.Phil, M.M.M, M.F.M, LLB, ACS.

Phone Office: 25952322
EmailID: ymsharma1978@gmail.com
csymsharma@gmail.com

Mob No. 9833124864

'B' Neha Apartment, Opp. Dr. Badwaik's Hospital, LBS Marg, Bhandup (W), Mumbai - 400 078.

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI LISTING
REGULATIONS 2015

FOR THE HALF YEAR ENDED 30th September, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to VERTEX SECURITIES LIMITED having its registered office THOTTATHIL TOWERS 41/3522 D II FLOOR MARKET ROAD ERNAKULAM KL-682014 pertaining to transfer of equity shares of the company for the period from 01/04/2019 to 30/09/2019 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI Listing Regulations 2015 entered into by, VERTEX SECURITIES LIMITED with BSE and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30/09/2019.

A) Share Certificate relating to the transfer of shares received during the period from 01/04/2019 to 30/09/2019 as entered in the Memorandum of Transfers have been issued within 15 days of the date of lodgment for the transfer, subdivision, consolidation, renewal, exchange or endorsement of calls /allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) Any other information, if applicable - Nil

Place: Mumbai.
Date: 10th October, 2019.
UDIN: A033235A000048294

Yogesh M. Sharma
Company Secretary
In whole- time Practice
ACS 33235
C.P No. 12366