Date: 13th April 2020

To,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400001

To,
National Stock Exchange of India Limited
Exchange Plaza, Plot No. C/1, G Block,
Bandra- Kurla Complex
Bandra (E), Mumbai – 400051

Ref.: Newgen Software Technologies Limited
(NEWGEN/INE619B01017)
Scrip Code – 540900

Ref.: Newgen Software Technologies Limited
(NEWGEN/INE619B01017)

Subject: Compliance Certificate pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Ma’am,

Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Compliance Certificate signed by the Company’s Registrar and Share Transfer Agent i.e. KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited) and Compliance Officer of the Company for the Half Year ended 31st March 2020, certifying Compliance with the requirement of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Further, please note that as per the guidelines issued by Stock Exchanges and considering the grave situation due to outbreak of Covid-19 pandemic, the said certificate is being submitted in “Sd/- form”.

Kindly take the same on your record.

Thanking you,

For Newgen Software Technologies Limited

Sd/-
Aman Mourya
Company Secretary & Compliance Officer

Encl.: a/a
COMPLIANCE CERTIFICATE
FOR THE HALF YEAR ENDED 31ST MARCH 2020

THIS IS TO CERTIFY that, KFin Technologies Pvt. Ltd (KFIN) is providing Registry and Share Transfer services to Newgen Software Technologies Limited and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide Registration NO.INR000000221 issued by Securities Exchange Board of India (SEBI).

b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.

c. Maintaining the data in respect of investors holding shares in electronic from (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issue in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Thanking You,

Yours Faithfully,

For KFin Technologies Private Limited
Sd/-
Ganesh Chandra Patro
Authorized Signatory
Place: Hyderabad
Date: 01/04/2020

For Newgen Software Technologies Limited
Sd/-
Aman Mourya
Company Secretary & Compliance Officer
Place: Noida
Date: 13/04/2020