



ASM TECHNOLOGIES LIMITED

80/2, Lusanne Court, Richmond Road, Bangalore - 560 025
Tel : +91-80-66962300/01/02 Fax : +91-80-66962304 e-mail : info@asmtd.com Website : www.asmltd.com
CIN : L85110KA1992PLC013421 GST No. : 29AABCA4362P1Z9

24th January 2022

Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai - 400 001

Sub: Reporting Violation of Company's Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Dear Sir/ Madam,

This is to inform you that in terms of the SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation of the Company's code of conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015 by the Designated Person (DP) along with the action taken by the Company thereon is enclosed to this letter

This is for your information and records.

Thanking You,

Sincerely,

For ASM Technologies Limited

Vanishree Kulkarni
Company Secretary

Report by ASM Technologies Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	ASM Technologies Limited
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	SUNDAR RAMANATHAN
	ii. PAN of the DP	AYLPR1718B
	iii. Designation of DP	Senior Vice President
	iv. Functional Role of DP	Business Development
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	ASM Technologies Ltd BSE:526433
	ii. No of shares traded and value (Rs.) (Date- wise)	500 Shares at Rs. 741.28 -10.01.2021
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
	ii. Date of intimation of trade(s) by Company to stock Exchanges under regulation 7of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct	Transaction undertaken during the trading window closure period

5	Action taken by Listed company/ Intermediary/ Fiduciary	1. Company had issued warning letter 2. Penalty of RS.10,000 directed to deposit with IEPF												
6	Reasons recorded in writing for taking action stated above	For having violated the Code of Conduct of the Company for Prevention of Insider Trading and SEBI (Prohibition of Insider Trading) Regulations, 2015 in future.												
7	Details of the previous instances of violations, if any, since last financial year	None												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online												
	ii. Details of transfer/payment In case of online:													
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the Transferor</td> <td>Sundar Ramanathan</td> </tr> <tr> <td>Bank Name, branch and Account Number</td> <td>SBI, NRI Branch, Adyar, Chennai 00000030992701515</td> </tr> <tr> <td>UTR/ Transaction Reference Number</td> <td>SBI322024073213</td> </tr> <tr> <td>Transaction Date</td> <td>24th Jan 2022</td> </tr> <tr> <td>Transaction Amount(In. Rs)</td> <td>10,000</td> </tr> </tbody> </table>		Particulars	Details	Name of the Transferor	Sundar Ramanathan	Bank Name, branch and Account Number	SBI, NRI Branch, Adyar, Chennai 00000030992701515	UTR/ Transaction Reference Number	SBI322024073213	Transaction Date	24 th Jan 2022	Transaction Amount(In. Rs)	10,000
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9	Any other relevant information	No.												

Sincerely,

For ASM Technologies Limited

Vanishree

Vanishree Kulkarni

Company Secretary