Ref No.: PSL/2020-21/CS/SE/19

Date: 23rd June, 2020

To,
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra Kurla Complex
Bandra (E), Mumbai -400 051

Symbol: DIAMONDYD

To,
Corporate Relationship Department
BSE Limited
P.J. Towers,
Dalal Street
Mumbai- 400 001

Security Code: 540724
Security ID : DIAMONDYD


Dear Sir/Madam,


This is for information and record.

Thanking you,

Yours faithfully,

For Prataap Snack Limited

Om Prakash Pandey
Company Secretary & Compliance Officer

Encl.: As above
SECRETARIAL COMPLIANCE REPORT OF
PRATAAP SNACKS LIMITED
FOR THE YEAR ENDED 31ST MARCH, 2020

To,
The Board of Directors
Prataap Snacks Limited
Khasra No.378/2, Nemawar Road,
Near Makrand House, Palda
Indore – 452020, Madhya Pradesh

I have examined:
(a) all the documents and records made available to me and explanation provided
by Prataap Snacks Limited (CIN: L15311MP2009PLC021746) (“the listed
entity”),
(b) the filings/submissions made by the listed entity to the stock exchanges,
(c) website of the listed entity,
(d) any other document/filing, as may be relevant, which has been relied upon to
make this certification,
for the year ended 31st March, 2020 (“Review Period”) in respect of compliance with the
provisions of:
(a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the
Regulations, circulars, guidelines issued there under; and
(b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made there under
and the Regulations, circulars, guidelines issued there under by the Securities
and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued there
under, have been examined, include:-
(a) Securities and Exchange Board of India (Listing Obligations and Disclosure
Requirements) Regulations, 2015;
(b) Securities and Exchange Board of India (Substantial Acquisition of Shares and
Takeovers) Regulations, 2011;
(c) Securities and Exchange Board of India (Share Based Employee Benefits)
Regulations, 2014;
(d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

Ritesh Gupta & Co.
M.Com., LL.B., FCS.
(e) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the act and dealing with clients;
(f) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (not applicable to the Company during the Review Period);
(g) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (not applicable to the Company during the Review Period);
(h) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (not applicable to the Company during the Review Period);
(i) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 (not applicable to the Company during the Review Period);

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder;
(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from my examination of those records;
(c) There are no actions taken against the listed entity/its promoters/directors/material subsidiary either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder;
(d) The reporting of actions by the listed entity to comply with the observations made in previous reports does not arise during the Review Period as there was no observation;

I further report that the Company has complied with clauses 6(A) and 6(B) of Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 issued by the Securities and Exchange Board of India.

Date: 17.06.2020
Place: Indore