April 20, 2020

To,
BSE Ltd.,
Listing Department,
P.J. Towers, 1st Floor,
Dalal Street, Fort,
Mumbai - 400 001

Scrip Code: 531390
Sub: Compliance Certificate for the half year ended March 31, 2020 as per Regulation 7(3) of the SEBI (LODR) Regulations, 2015

Dear Sir,

As per Regulation 7(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 please find enclosed herewith a Compliance Certificate duly signed by an authorized person of Adroit Corporate Services Private Limited and Managing Director of the Company for the period beginning from 01st October, 2019 to 31st March 2020.

Kindly take the same in your records.

Yours faithfully,

For Upsurge Investment and Finance Limited

Sd/-
Ela Gupta
Company Secretary and Compliance Officer
April 07, 2020

To
BSE LIMITED
CORPORATE RELATION DEPARTMENT
P J TOWERS,
DALAL STREET,
MUMBAI 400001

Dear Sir/Madam,

Subject: Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
ISIN: INE890B01014

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period October 01, 2019 to March 31, 2020 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Adroit Corporate Services Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000002227.

We request you to kindly take note of the above in your records.

Thanking you,

For UPSURGE INVESTMENT & FINANCE LIMITED

For ADOUIT CORPORATE SERVICES PRIVATE LTD

Director/Compliance Officer/Co.Secretary

MR N SURREASH
AGM