

# SONIA RANI & ASSOCIATES

COMPANY SECRETARIES

To  
Board of Directors  
**SWAGTAM TRADING AND SERVICES LIMITED**  
CIN: L51909DL1984PLC289131  
R – 489, GF-A, New Rajinder Nagar,  
New Delhi-110 060.

## **CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2024**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to “**Swagtam Trading and Services Limited**” maintained by **Alankit Assignments Limited**, the Registrar and Share Transfer Agent of the Company, pertaining to transfer of equity shares of the company for the period beginning from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024, for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information and explanation furnished by the RTA and the Company, hereby certify that the Company has delivered during the year ended on 31<sup>st</sup> March, 2024

- A) Share Certificate relating to the Share Transfer Deeds received during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 as entered in the Memorandum of Transfers have been issued within stipulated time period from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within time stipulated in the law.

**For SONIA RANI & ASSOCIATES**  
**Company Secretaries**

Sonia  
Rani

Digitally signed  
by Sonia Rani  
Date: 2024.04.20  
11:04:13 +05'30'

Place: New Delhi  
Date : 20.04.2024

SONIA RANI  
(Proprietor)  
M. No. : A36984  
CP : 20372  
UDIN : A036984F000194713  
P.R.No.: 3514/2023