

Date: 30/04/2022

To  
**The General Manager-Listing**  
Corporate Relationship Department  
The BSE Limited  
Phiroz Jeejeebhoy Tower  
Dalal Street, Mumbai-400001

**SUBJECT: SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR), 2015 FOR THE YEAR ENDED ON 31<sup>ST</sup> MARCH, 2022**

**REF: GAUTAM GEMS LIMITED (Scrip Code: 540936)**

Dear Sir/ Madam,

With reference to above subject and pursuant to provision of regulation 40(9) of SEBI (Listing obligation and Disclosure Requirement) Regulations, 2015, please find the attached Compliance Certificate for the year ended 31<sup>st</sup> March, 2022.

Please take the same on your record and oblige.

Thanking You,

**For, GAUTAM GEMS LIMITED**



**GAUTAM PRAVINCHANDRA SHETH  
MANAGING DIRECTOR**



z



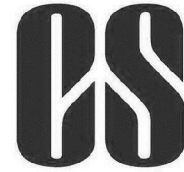
# **SHIKHA PATEL & ASSOCIATES**

*Company Secretaries*

*Shikha Patel*

*M: +91 90161 21381*

*E: [cs.spatelasso@gmail.com](mailto:cs.spatelasso@gmail.com)*



## **CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015 FOR THE YEAR ENDED ON 31<sup>st</sup> March, 2022**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **GAUTAM GEMS LIMITED** maintained by **KFin Technologies Limited** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2021** to **31<sup>st</sup> March, 2022** for the purpose of issuing a Certificate as per regulation 40 (9) of SEBI (LODR) Regulations, 2015 and listing agreement entered into by **GAUTAM GEMS LIMITED** with stock exchanges and based on the information provided by the Company, I hereby certify that the Company has delivered during the year ended on **31<sup>st</sup> March, 2022**:

- A) The Company has not received any request for transfer of shares, sub-division, consolidation, renewal, exchange or endorsements of calls/allotment monies during the period between **1<sup>st</sup> April 2021** to **31<sup>st</sup> March 2022**.
- B) The Company has not received any request for duplicate share certificate(s) during the year ended on **31<sup>st</sup> March, 2022**.

### **FOR, SHIKHA PATEL & ASSOCIATES COMPANY SECRETARY IN PRACTICE**

Shikha

Parth Patel

Digitally signed by  
Shikha Parth Patel  
Date: 2022.04.30  
15:40:58 +05'30'

**SHIKHA PATEL  
(PROPRIETOR)  
MEMBERSHIP NO: 43955  
COP NO: 16201  
UDIN: A043955D000248346**

**DATE: 30/04/2022  
PLACE: AHMADABAD**