



# SMIFS CAPITAL MARKETS LIMITED

May 26, 2022

**The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001**

Dear Sir,

**Sub: (i) Newspaper Advertisement – IEPF Advertisement Notice on May 20, 2022  
(Annexure– I)**

We are forwarding copies of newspaper advertisement in respect of Notice to Shareholders given for transferring their shares and dividend amount for the year 2014-15 in English Daily (Business Standard) on May 20, 2022.

Please Acknowledge.

**Yours faithfully  
For SMIFS Capital Markets Limited**

*Kishor Shah*

**Kishor Shah  
(Managing Director)**



Encl: As stated

**SMIFS CAPITAL MARKETS LIMITED**

Regd. Office Vaibhav 4F, 4, Lee Road, Kolkata - 700 020

CIN NO. L74300WB1983PLC036342

Tel No. 033-2290-7400/ 7401/7402/0544 Fax No. 033-2287-4042, 2240-6884

E-mail: smifcap@gmail.com, cs.smifs@gmail.com Website: www.smifscap.com

**NOTICE TO SHAREHOLDERS**

For transfer of shares to the Investor Education and protection Fund (IEPF) Account  
(As per Section 124(6) of the Companies Act, 2013)

In terms of requirements of Section 124(6) of the Companies Act, 2013 read with Investor Education and Protection Fund (IEPF) Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the rules"), the Company is required to transfer the shares, in respect of which the dividend remains unpaid or unclaimed for a period of seven consecutive years or more to the IEPF Account established by the Central Government.

The Company has sent individual communication to the concerned shareholders who have not encashed the final dividend for the financial year 2014-15 and all subsequent dividends declared and paid by the Company, which are liable to be transferred to IEPF Accounts as per the said Rules.

A list of such shareholders who have not encashed their dividends for seven consecutive years and whose share and dividend amount are therefore liable for transfer to IEPF Account is displayed on the website of the Company [www.smifscap.com](http://www.smifscap.com)

Shareholders are requested to forward the requisite documents as mentioned in said communication to the Company's Registrar and Share Transfer Agent on or before 15th October, 2022 to claim the shares and unclaimed dividend amount(s). Notice is hereby given that in the absence of receipt of a valid claim by the shareholder, the Company would be transferring the said shares to IEPF Account without further notice in accordance by following the process as mentioned below:

1. In case of shares held in Physical form - by issuance of duplicate share certificates on behalf of the concerned members and then transferring the same to IEPF Authority.
2. In case of shares held in Demat form-by transferring the shares directly to Demat account of IEPF Authority with the help of Depository Participant.

Please note that no claim shall lie against the Company in respect of unclaimed dividend amount and shares transferred to IEPF pursuant to the said Rules. Any person whose shares/unpaid dividend is transferred to the Fund may claim the shares/dividend from the Investor Education and Protection Fund Authority pursuant to the provisions of Section 124 and 125 of the Act and the Rules by submitting an online application in Form IEPF-5 available on the website [www.iepf.gov.in](http://www.iepf.gov.in) with a copy to the Company.

For any information/ clarifications on this matter, concerned shareholders may write to the Company at [smifcap@gmail.com](mailto:smifcap@gmail.com) / [cs.smifs@gmail.com](mailto:cs.smifs@gmail.com) or contact the Company's Registrar and Share Transfer Agent- M/s Maheshwari Datamatics Private Limited, 23, R.N. Mukherjee Road, 5th Floor, Kolkata 700001, Kolkata-700001. Tel.: 033-22435029/22482248. Email: [mdpldc@yahoo.com](mailto:mdpldc@yahoo.com).

For SMIFS Capital Markets Limited  
Sd/-

(Kishor Shah)  
Managing Director

Place : Kolkata

Date : 20.05.2022

*Certified True Copy*

For SMIFS CAPITAL MARKETS LIMITED

*Kishor Shah*

(KISHOR SHAH)  
MANAGING DIRECTOR