

***DOLAT* ALGOTECH LIMITED**

(FORMERLY KNOWN AS DOLAT INVESTMENTS LIMITED)

Corporate Office: 301-308, Bhagwati House, Plot, A/19, Veera Desai, Andheri (West), Mumbai - 400 058
TEL.: 91-22-2673 2602/03/04/65704167/68/69/70/71 FAX: 91-22-26732642,
Website: www.dolatalgotech.in ; E-mail: investor@dolatalgotech.in
Corporate Identity Number: L67100GJ1983PLC126089

May 26, 2023

To,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai 400 001.
Scrip code : 505526

Dear Sir / Madam,

Sub.: Annual Secretarial Compliance Report for the year ended March 31, 2023

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find the enclosed herewith the Annual Secretarial Compliance Report issued by M/s. DM & Associates Company Secretaries LLP, Company Secretaries, for the year ended March 31, 2023.

Please take the same on record and oblige.

Thanking you

Yours faithfully,

For **DOLAT ALGOTECH LIMITED**

Sandeepkumar G. Bhanushali
Company Secretary & Compliance Officer

Place : Mumbai
Encl: As Above

DM & ASSOCIATES COMPANY SECRETARIES LLP

(LLPIN NO. AAI-4743)

[Firm Registration No: L2017MH003500] [Peer Review Certificate: 758/2020]
REGD. OFFICE: # 205, NADIADWALA MARKET, PODDAR ROAD, MALAD (EAST), MUMBAI-400097

Tel No. 022-28443641 Email: dmassociatesllp@gmail.com

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.

Sr. No.	Compliance Requirement (Regulation s/ circulars/ guide- lines including specific clause)	Regulation / Circular No.	Deviation s	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observatio ns/ Remarks of the Practicing Company Secretary	Management Response	Re- marks
1	Submission of Disclosure of related party transactions on consolidated basis for the every six months with in 15 days of date of publication of its standalone and consolidated financial results	Regulation 23 (9) of SEBI (LODR) Regulation s, 2015	Delay in submission of Disclosure of related party transactions	BSE Limited	Fine /Penalty	There was delay of 1 day in Submission of disclosure of related party transactions on consolidated basis for the half year ended March 2022.	Rs. 5000/- excluding taxes	The Company has complied with the said Regulation and no further action is required to be taken by the Company	The delay of 1 day in submission was due to oversight.	
2	Composition of Board of directors should be in compliance with Regulation 17(1) of SEBI (LODR) Regulations, 2015	Regulation 17(1) of SEBI (LODR) Regulation s, 2015	Compositi on of Board was not in complian ce with Regulatio n 17(1) of SEBI (LODR) Regulatio ns, 2015	BSE Limited	Fine /Penalty	Subsequent to appointment of Mr. Harendra D. Shah (DIN: 00012601) (Non executive – Non Independent Director) at AGM dated 30-09-2022, composition of Board was non-compliant under Regulation 17(1) of SEBI LODR regulations, 2015 as number of independent Directors on Board where less than 50%.	Rs. 100000/- excluding taxes	The Company has complied with the said Regulation and no further action is required to be taken by the Company	Subsequent to resignation of Mrs. Neha P. Shah (DIN: 05262280) (Non executive – Non Independent Director) w.e.f. October 21, 2022 (close of business hours), Composition of Board of director of the Company changed to 50% non-Independent and 50 % Independent	



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3.	Maintenance and disclosures on Website: - The Company is maintaining a functional website - Timely dissemination of the documents/ information under a separate section on the website - Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website	Yes	None
4.	Disqualification of Director: None of the Director(s) of the listed entity are disqualified under Section 164 of Companies Act, 2013 as confirmed by the Company.	Yes	None
5.	To examine details related to Subsidiaries of listed entity (a) Identification of material subsidiary companies (b) Disclosure requirement of material as well as other subsidiaries	Yes	The Company has Policy for determining Material Subsidiaries.
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	None
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees during the financial year as prescribed in SEBI Regulations.	Yes	None
8.	Related Party Transactions: (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	Yes	None
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	None
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	None



