DOLAT ALGOTECH LIMITED

(FORMERLY KNOWN AS DOLAT INVESTMENTS LIMITED)

Corporate Office: 301-308, Bhagwati House, Plot, A/19, Veera Desai, Andheri (West), Mumbai - 400 058 TEL.: 91-22-2673 2602/03/04/65704167/68/69/70/71 FAX: 91-22-26732642, Website: www.dolatalgotech.in; E-mail: investor@dolatalgotech.in

Corporate Identity Number: L67100GJ1983PLC126089

May 26, 2023

To, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai 400 001. Scrip code: 505526

Dear Sir / Madam,

Sub.: Annual Secretarial Compliance Report for the year ended March 31, 2023

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find the enclosed herewith the Annual Secretarial Compliance Report issued by M/s. DM & Associates Company Secretaries LLP, Company Secretaries, for the year ended March 31, 2023.

Please take the same on record and oblige.

Thanking you

Yours faithfully,

For **DOLAT ALGOTECH LIMITED**

Sandeepkumar G. Bhanushali Company Secretary & Compliance Officer

Place : Mumbai Encl: As Above

(LLPIN NO. AAI-4743)

[Firm Registration No: L2017MH003500] [Peer Review Certificate: 758/2020]
REGD. OFFICE: # 205, NADIADWALA MARKET, PODDAR ROAD, MALAD (EAST), MUMBAI-400097
Tel No. 022-28443641 Email: dmassociatesllp@gmail.com

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Secretarial Compliance report of DOLAT ALGOTECH LIMITED for the year ended MARCH 31, 2023.

We have examined:

- (a) all the documents and records made available to us and explanation provided by **DOLAT ALGOTECH LIMITED (CIN: L67100GJ1983PLC126089)** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2023 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 **Not Applicable**;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **Not Applicable**;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2021 **Not Applicable**;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2021 **Not Applicable**;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 – Not Applicable;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/ guidelines issued thereunder;

And based on the above examination, we hereby report that, during the Review Period:



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(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.

Sr.	Compliance	Regulation	Deviation	Action	Type of	Details of	Fine	Observatio	Management	Re-
No.	Requiremen	/ Circular	s	Taken	Action	Violation	Amount	ns/	Response	ma
	t	No.		by				Remarks		rks
	(Regulation	1101		.,						163
	s/ circulars/							of the		}
	guide- lines							Practicing		
	including							Company		
	specific							Secretary		
	clause)							•		
1	Submission of	Regulation	Delay in	BSE	Fine	There was delay of	Rs.	The	The delay of 1	
	Disclosure of	23 (9) of	submissio	Limited	/Penalty	1 day in Submission	5000/-	C	day in	
	related party		n of	Limited		of			submission was	
	transactions	SEBI	Disclosur			disclosure of	excludin		due to oversight.	1
	on consolidated	(LODR)	e of			related party transactions on	g taxes	complied		
	basis for the	Regulation	related party			consolidated basis		with the		
	every six	s, 2015	transacti			for the half year		said		
	months with		ons			ended March 2022.	1	Regulation		
	in 15 days of							and no		
	date of									
	publication of							further		
	its standalone							action is		
	and							required		
	consolidated							to be taken		
	financial		1					by the		
	results							2.50		
								Company		
2	Composition	Regulation	Compositi	BSE	Fine	Subsequent to		The	Subsequent to	,
	of Board of	17(1) of	on of	Limited	/Penalty	appointment of	100000/	Company	resignation of	
	directors should be in	SEBI	Board			Mr. Harendra D.	-	has	Mrs. Neha P.] [
	compliance	(LODR) Regulation	was not in			Shah (DIN 00012601) (Non		complied with the	Shah (DIN: 05262280)	
	with	s, 2015	complian			executive - Non	g taxes	said	(Non executive	1
	Regulation	3, 2013	ce with			Independent		Regulation	– Non	
	17(1) of SEBI		Regulatio			Director) at AGM			Independent	
	(LODR)		n 17(1) of			dated 30-09-2022,		further	Director) w.e.f.	
	Regulations,		SEBI			composition of		action is		
	2015		(LODR)			Board was non-		required	2022 (close of	} }
			Regulations, 2015			compliant under Regulation 17(1)		to be taken by the		
			113, 2013			of SEBI LODR		Company	Composition of	
						regulations, 2015		company	Board of	1 1
						as number of	1		director of the	
						independent	11/11/	1	Company	
						Directors on	1/1/1		changed to	(1
						Board where less	Vill	* DM	50% non-	
						than 50%.	1/3/	120	Independent and 50 %	
							(E)	CHINDIN C	Independent	
4						l		PANTINA 15	nidependent	

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con Reg 17(LOI reg	directors, compliant to Regulation 17(1) of SEBI ODR regulations, 2015.	
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(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

There was no observation made in previous reports

Sr. No.	Compliance Requirement (Regulations/ circulars/guide- lines includingspecific clause)	Regulation/ CircularNo.	Deviations	Takenby	Type of Action	Details of Violation	Fine Amount	Observation s/ Remarks of the Practicing Company Secretary	Management Response	Re- marks
					NONE					

We further report that during the review period, there was no event of appointment / re-appointment / resignation of statutory auditor of the Company and the Company has complied with Para 6(A) and 6(B) of CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

We further report that, in terms of the circulars issued by BSE Limited and National Stock Exchange of India Limited on March 16, 2023 respectively, during the Review Period, the compliance status of the Company is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
1.	Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.	Yes	None
2.	 Adoption and timely Updation of the Policies: All applicable policies under SEBI Regulations are adopted with the approval of board of directors All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI 	Yes	None



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3.	Maintenance and disclosures on Website: - The Company is maintaining a functional website - Timely dissemination of the documents/ informationunder a separate section on the website - Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which redirects to the relevant document(s)/section of the website	Yes	None
4.	Disqualification of Director: None of the Director(s) of the listed entity are disqualified under Section 164 of Companies Act, 2013as confirmed by the Company.	Yes	None
5.	To examine details related to Subsidiaries of listed entity (a) Identification of material subsidiary companies (b) Disclosure requirement of material as well as other subsidiaries	Yes	The Company has Policy for determining Material Subsidiaries.
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documentsand Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	None
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees during the financial year as prescribed in SEBI Regulations.	Yes	None
8.	Related Party Transactions: (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	Yes	None
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	None
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) &3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	None None

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11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity /its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder.	Yes	None
12.	Additional Non-compliances, if any: No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	Yes	None

For DM & Associates Company Secretaries LLP

Company Secretaries

ICSI Unique Code L2017MH003500

Dinesh Kumar Deora

Partner FCS NO 5683 C P NO 4119

UDIN Number: F005683E000230171

Place: Mumbai Date: 30th April,2023