

THERMAX LIMITED THERMAX HOUSE, 14 MUMBAI PUNE ROAD,
WAKDEWADI, PUNE 411 003. INDIA ☐ TEL.: (020) 25542122, 25542263
FAX : (020) 25541226 ☐ Website : www.thermaxglobal.com
IT PAN - AAAC 3910D ☐ Customer Care : 18002090115 (India Toll Free)



Corporate Finance

Ref: KPP/TL-36/03280
Date: October 17, 2019

**The Secretary,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001**

Company's Scrip Code: 500411

Sub: Certificate pursuant Regulation 40(9) of the SEBI (LODR)

Dear Sir,

With reference to the Regulation 40(9) of the SEBI (LODR) Regulations, 2015, we are enclosing a copy of the certificate dated October 14, 2019 issued by Manish Ghia & Associates, Practicing Company Secretaries, regarding issuance of share certificate for transfer, sub-division, consolidation, renewals, etc. for the period April 01, 2019 to September 30, 2019.

You are requested to kindly acknowledge receipt of the above.

Thanking you,

Yours faithfully,
For **THERMAX LIMITED,**

A handwritten signature in blue ink, appearing to read 'Kedar P. Phadke'.

**Kedar P. Phadke
Company Secretary**



Encl: As above

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Thermax Limited** having its registered office at D-13, MIDC, Industrial Area, R.D. Aga Road, Chinchwad, Pune - 411019 maintained by **Karvy Fintech Private Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing Certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **Thermax Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **30th September, 2019** the Company:

- a) has issued Share Certificate(s) relating to the Share Transfer form(s) received during the said half year (from **1st April, 2019 to 30th September, 2019**) as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each form(s) excepting those rejected on technical grounds; and
- b) has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.

Place : Mumbai
Date : 14th October, 2019
UDIN: F006252A000068732



For Manish Ghia & Associates
Company Secretaries

Manish L. Ghia
Partner

M. No. FCS 6252 C.P. No. 3531