

## Ortel Communications Ltd.

www.ortelcom.com

Bringing Convergence to India

Corporate. office: C-1, Chandrasekharpur Behind R.M.R.C., Near BDA Colony BBSR-751016, Odisha, India Ph.: (0674) 3983200

Fax: (0674) 2303448 email - nodal@ortelgroup.com CIN: L74899DL1995PLC069353

Company under Corporate insolvency Resolution Process by NICLT Order, dated November 27, 2016

October 14, 2019

To,

The Listing Department

National Stock Exchange of India Limited

Exchange Plaza, C-1, Block-G

BandraKurla Complex

Bandra (E), Mumbai-400051

Scrip code-ORTEL

The Deputy General Manager (Corporate Services) **BSE Limited** Floor 25,PhirozeJeejeebhoy Towers Dalal Street, Fort Mumbai- 400001 **Scrip code-539015** 

Dear Sir/Madam;

Subject:- Compliance Certificate in compliance with Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 of Ortel Communications Ltd (under CIRP) for the half of the financial year ended 30th September, 2019 of FY 2019-20

We are enclosing herewith the Compliance Certificate in compliance with Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 of Ortel Communications Ltd for the half of the financial year ended 30th September, 2019 of FY 2019-20 as **ANNEXURE** for your information and record.

Thanking you, Yours faithfully,

For Ortel Communications Limited (Under CIRP)

Bidu Bhusan Dash

Company Secretary & Compliance Officer

Shubaneswar Shuban

Issued with the approval of Mr. Srigopal Choudhary ("RP"), Registration No.IBBI/IPA-001/IP-P01238/2018-2019/11893.

(Ortel Communications Limited is under Corporate Insolvency Resolution Process of the Insolvency and Bankruptcy Code 2016. Its affairs, business and assets are being managed by the Resolution Professional, Mr. Srigopal Choudhary, appointed by the National Company Law Tribunal by order dated 01st February, 2019 under the provisions of the Code)

Enclo.: As above

ANNEXURE KARVY | FINTECH

## COMPLIANCE CERTIFICATE Half yearly ended 30/09/2019

THIS IS TO CERTIFY that, KARVY FINTECH PRIVATE LIMITED (KFPL) is providing Registry and Share Transfer services to ORTEL COMMUNICATIONS LIMITED and having the valid greement in the form of Memorandum of Understanding (MOU).

## FURTHER CERTIFIED that KFPL:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KARVY FINTECH PRIVATE LIMITED

Mohammed Shanoor Corporate Registry

Place: Hyderabad Date: 07/10/2019 Bhubaneswer A Transcer City

Brown

Karvy Fintech Private Limited (KFPL)
(Formerly known as KCPL Advisory Services Private Limited)