



SPECTRA INDUSTRIES LIMITED

Tel.: 2889 3933, 77 Fax : 91-22-2889 1342
E-mail : sepl12@rediffmail.com
Website : www.spectraindustries.co.in

Plot No. 9, Spectra Compound, 4th Floor,
Ramchandra Lane Extn., Kachpada II,
Malad (West), Mumbai - 400 064.

CIN : L74999MH1992PLC067849

To,
The General Manager
BSE LIMITED
P. J. Towers,
Dalal Street,
Mumbai 400 021

Date: April 27, 2023

Scrip Code: 513687

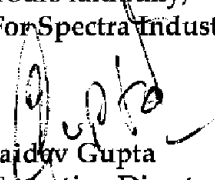
Sub.: Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosures requirements) Regulations 2015.

Sir,

Pursuant to the Clause 40 (9) of the SEBI (Listing Obligations and Disclosure requirements) Regulations 2015, enclosed please find the Certificate from the practicing company secretary for the year ended 31.03.2023.

Thanking you.

Yours faithfully,
For Spectra Industries Limited


Jaidev Gupta
Executive Director & CFO
DIN : 00066999





PARIKH PAREKH & ASSOCIATES
COMPANY SECRETARIES

Office: 111, 11th floor, Sai-Dwar CHS Ltd., SAB TV Lane, Opp. Laxmi Industrial Estate, Off Link Road, Above Shabari Restaurant, Andheri (West), Mumbai-400 053.
Tel: 26301232 / 26301233 Email: cs@parikhassociates.com Website: www.parikhassociates.com Firm Unique Code: P1937MH010000

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2023.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to SPECTRA INDUSTRIES LIMITED ('the Company') maintained and provided electronically by Link Intime India Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

We hereby certify that:

A) As per the records made available to us there were no requests relating to transfers, transmission of securities, deletion of name, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the financial year ended 31st March, 2023.

B) Any other information, if applicable:

Place: Mumbai

Date: April 27, 2023

Signature:

**For Parikh Parekh & Associates
Company Secretaries**

**MOHAMMAD
FAKRUDDIN
PILLIKANDLU**

Digitally signed by MOHAMMAD FAKRUDDIN
PILLIKANDLU
DN: cn=Personel, postalCode=402056,
st=Maharashtra,
2.5.4.20=090e88ef5a88b30c0717766cbcc3fa254f7923
2514a354d65a9e7b1988072,
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282E04485121C598E0A456457C0B8, cn=MOHAMMAD
FAKRUDDIN PILLIKANDLU
Date: 2023.04.27 16:26:45 +05'30'

Mohammad Pillikandlu

Partner

FCS No: 10619 CP No: 14603

UDIN: F010619E000209191

PR No.: 723/2020