



# BAFNA PHARMACEUTICALS LIMITED

REGD. OFFICE: "BAFNA TOWERS", NO.299, THAMBU CHETTY STREET, CHENNAI – 600001, TAMIL NADU, INDIA  
PHONE: 044-25267517/25270992/42677555, FAX: 91-44-25231264, Email: info@bafnapharma.com, Website: www.bafnapharma.com  
CIN: L24294TN1995PLCO30698

Date: April 22, 2024.

To

<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001.	<b>National Stock Exchange of India</b> Exchange Plaza, Bandra Kurla Complex, Bandra East, Mumbai – 400051.
Scrip Code: 532989	Symbol: BAFNAPH

Dear Sir/Madam,

**Sub: Compliance Certificate in terms of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2024**

As per Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are herewith enclosing the Compliance Certificate issued by M/s. A K Jain & Associates, Company Secretaries, Chennai, for the year ended 31st March, 2024.

We request you to take the same on record.

Thanking You.

For **BAFNA PHARMACEUTICALS LIMITED**

**Shanmugam Hemalatha**  
Whole-Time Director  
(DIN: 02714329)

**A. K. JAIN & ASSOCIATES**  
**COMPANY SECRETARIES**



*S. Anil Kumar Jain* B.Com., FCS

*Balu Sridhar* M.A.C.S., FCS., LLB

*Pankaj Mehta* B.Com (C.S.), ACS

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA**  
**(LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2024**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. BAFNA PHARMACEUTICALS LIMITED (the Company)** maintained by **M/s. CAMEO CORPORATE SERVICES LIMITED** ("Share Transfer Agent") pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and we hereby certify that the Company has not received any requests for physical Transfer, Transmission, Split, Consolidation and Duplicate share certificates for the period commencing from 01.04.2023 to 31.03.2024.

**For A.K.JAIN & ASSOCIATES**

Company Secretaries

Date: 22.04.2024

Place: Chennai



**BALU SRIDHAR**

Partner

M.NO. F5869

C.P.NO. 3550

UDIN: F005869F000209591

PR: 1201/2021