



Biocon Limited

20th KM Hosur Road
Electronics City
Bangalore 560 100, India
T 91 80 2808 2808
F 91 80 2852 3423
CIN : L24234KA1978PLC003417

www.biocon.com

October 15, 2019

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|---|---|
| To The Manager Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001 Scrip Code - 532523 | To, The Manager, Listing Department National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex Mumbai – 400 051 Scrip Code- BIOCON |
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Dear Sir/Madam,

Subject: Compliance Certificate under Regulation 40 (9) of SEBI Listing Regulations for the half year ended September 30, 2019.

With reference to the above subject, please find enclosed the Half Yearly Compliance Certificate under Regulation 40(9) of SEBI Listing Regulations, issued by Mr. Pradeep B Kulkarni, Practicing Company Secretary with regard to transfer/ sub-division/ consolidation/ renewal/ exchange or endorsement of calls/ allotment of monies for the half year ended September 30, 2019.

Kindly take the same on record.

Thanking You,

For Biocon Limited





Mayank Verma
Company Secretary and Compliance Officer

Enclosed: Compliance Certificate under Regulation 40(9)

V SREEDHARAN AND ASSOCIATES

Company Secretaries

No. 32/33, 1st and 2nd Floor, GNR Complex, 8th Cross,

Wilson Garden, Bengaluru 560 027

☎ +91 80 222 90 394 ■ compliance@sreedharancs.com



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019**

To,

Karvy Fintech Private Limited
Karvy Selenium Tower B, Plot No.31 & 32,
Financial District, Nanakramguda, Gachibowli,
Hyderabad-500032. Telangana.

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **BIOCON LIMITED** maintained by Karvy Fintech Private Limited, Hyderabad (RTA) pertaining to transfer of equity shares of the company for the period from 01.04.2019 to 30.09.2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/RTA, we hereby certify that, during the half year ended 30.09.2019, the Company has not received any request for transfer of shares, sub-division, consolidation, renewal, exchange, or endorsement of calls / allotment monies during the aforesaid period.

For V Sreedharan & Associates

Pradeep B Kulkarni
Partner
F.C.S. - 7260: C.P. 7835



UDIN NO. F007260A000072831

Bengaluru
October 14, 2019

- C.C. to:
BIOCON LIMITED
Bengaluru