

October 14, 2019

To Listing Department, <b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Exchange Plaza, Bandra Kurla Complex, Bandra (E), <b>MUMBAI -400 051</b>  <b>Company Code No. AUROPHARMA</b>	To The Corporate Relations Department <b>BSE LIMITED</b> Phiroz Jeejeebhoy Towers, 25 <sup>th</sup> floor, Dalal Street, <b>MUMBAI -400 001</b>  <b>Company Code No. 524804</b>
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Dear Sirs,

**Sub: Compliance Certificate under Regulation 40(9) for the half year ended 30.09.2019**

Pursuant to Regulation 40(9) and (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we enclose the Compliance Certificate for the half year ended 30.09.2019.

Please take the information on record.

Thanking you,

**Yours faithfully,**  
**For AUROBINDO PHARMA LIMITED**



**B ADI REDDY**  
**Company Secretary**

Encl: As above



**AUROBINDO PHARMA LIMITED**

(CIN : L24239TG1986PLC015190)

PAN No. AABCA7366H

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Regd. Off.: Plot No. 2, Maithrivihar, Ameerpet, Hyderabad - 500 038 T.S., INDIA Tel : +91 40 2373 6370 Fax : +91 40 2374 7340, Email : info@aurobindo.com

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## A. MOHAN RAMI REDDY

M.Com., L.L.B., FCS

Company Secretary in Practice

FCS: 2147 | CP: 16660

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**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015**  
**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER 2019**

The Securities and Exchange Board of India (SEBI) vide notification dated 08<sup>th</sup> June, 2018 and its press release on 3<sup>rd</sup> December 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f 1<sup>st</sup> April 2019. Further SEBI vide its press release dated 27<sup>th</sup> March 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1<sup>st</sup> April 2019.

Accordingly, I have examined all Share Transfer deeds, Memorandum of Transfers, Registers, files and other documents relating to **Aurobindo Pharma Limited** (the Company) maintained by Karvy Fintech Private Limited, the Registrars & Transfer Agents of the Company pertaining to transfer deeds which were lodged prior to 1<sup>st</sup> April 2019 and re-lodged thereafter for transfer of equity shares of the Company for the period from 1<sup>st</sup> April 2019 to 30<sup>th</sup> September 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I, hereby certify that:

- A) The Company has delivered during the half year ended on 30<sup>th</sup> September 2019 share certificates relating to the transfer deeds lodged prior to 1<sup>st</sup> April, 2019 and re-lodged thereafter, received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September 2019, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has delivered during the half year ended on 30<sup>th</sup> September 2019 share certificates in respect of requests for exchange of duplicate and split certificates have been issued within thirty days from the respective date of lodgment excepting those rejected on technical grounds.

Place: Hyderabad  
Date : 09.10.2019



**A. MOHAN RAMI REDDY**  
Company Secretary in Practice  
FCS No. 2147 II C. P. No.16660  
UDIN: F002147A000038029