



**ADITYA BIRLA
CAPITAL**

PROTECTING INVESTING FINANCING ADVISING

Date: 14th May, 2020

BSE Limited

Phiroze Jeejebhoy Towers,
Dalal Street,

Scrip Code: 540691

Dear Sir/ Madam,

National Stock Exchange of India Limited

Exchange Plaza,
Plot no. C/1, G-Block,
Mumbai- 400001
Bandra Kurla Complex, Bandra
(East) Mumbai- 400051

Scrip Code: ABCAPITAL

Sub: Compliance Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31st March, 2020

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith a copy of the compliance certificate received from M/s Dilip Bharadiya and Associates, Practicing Company Secretary, for the half year ended 31st March, 2020.

Thanking you,

Yours sincerely,

For **Aditya Birla Capital Limited**

Sailesh Daga
Company Secretary
FCS 4164

Aditya Birla Capital Ltd.

One Indiabulls Centre, Tower 1, 18th Floor, Jupiter Mill Compound,
841, Senapati Bapat Marg, Elphinstone Road, Mumbai 400013
+91 22 4356 70001 (F) +91 22 4356 7111
abc.secretarial@adityabirlacapital.com | www.adityabirlacapital.com

Registered Office:

Indian Rayon Compound, Veraval- 362 266. Gujarat
+91 28762 45711
CIN L67120GJ2007PLC058890

Dilip Bharadiya

B.Com., A.C.A., F.C.S.
Proprietor

DILIP BHARADIYA & ASSOCIATES

COMPANY SECRETARIES

Phone: +91 22 28883756

Mob : +91 98202 90360

Email :dilipbcs@gmail.com

dilip@csdilip.com

To,
KFIN TECHNOLOGIES PRIVATE LIMITED
Karvy Selenium, Tower B, Plot 31-32, Gachibowli,
Financial District, Hyderabad - 500 032

To,
ADITYA BIRLA CAPITAL LIMITED
Registered Office Address:
Indian Rayon Compound,
Veraval - 362266
Correspondence Address:
One Indiabulls Center, Tower 1,
18th Floor, Jupiter Mill Compound,
841, Senapati Bapat Marg,
Elphinstone Road, Mumbai - 400 013

Sub: Compliance certificate by Practicing Company Secretaries for the half year ended as on March 31, 2020, in terms of the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations, 2015 ("SEBI Listing Regulations")

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Aditya Birla Capital Limited** ("Company") maintained by the Registrar & Transfer Agent (RTA) of the Company i.e. KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) pertaining to transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of Equity shares of the Company for the period from October 1, 2019 to March 30, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) & 40(10) of the SEBI Listing Regulations.

Considering the situation due to pandemic "COVID 2019" and lockdown being declared nationwide, the process of audit has been modified. All the documents /records / registers were not verified physically, however, they were made available in electronic mode and were verified based on the representations received from the Company for its accuracy and authenticity and based on the information provided by the Company and its RTA, I hereby certify that the Company has delivered during half year ended on **March 31, 2020:**

- A) Share Certificate(s) relating to the transfer of shares/transmission of shares received during the period from **October 1, 2019 to March 31, 2020** as entered in the Memorandum of transfers/transmission/sub-division and renewals have been issued within thirty days of the date of lodgment for transfer/transmission/renewal other than those rejected on technical grounds. The Table below are the cases of transmission/ Transfer/ Deletion of Name wherein the Share certificate for 3527 Shares which could not be issued within the due date on account of ongoing pandemic of COVID-19 and consequent lockdown as directed by the Central and State Government(s), due to which the printing and despatch service has been disrupted.

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Dilip Bharadiya

B.Com., A.C.A., F.C.S.
Proprietor

DILIP BHARADIYA & ASSOCIATES

COMPANY SECRETARIES

Phone: +91 22 28883756

Mob : +91 98202 90360

Email :dilipbcs@gmail.com

dilip@csdilip.com

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Sr. No.	Particulars of Cases	Inward date	Number of Shares
1	Transfer	21 st March 2020	252
2	Transmission	13 th March 2020	140
3	Transmission	13 th March 2020	14
4	Transmission	13 th March 2020	16
5	Transmission	13 th March 2020	46
6	Transmission	13 th March 2020	42
7	Transmission	21 st March 2020	70
8	Transmission	21 st March 2020	350
9	Transmission	21 st March 2020	343
10	Transmission	21 st March 2020	245
11	Deletion of Name	16 th March 2020	245
12	Deletion of Name	16 th March 2020	126
13	Deletion of Name	16 th March 2020	126
14	Deletion of Name	17 th March 2020	1454
15	Deletion of Name	17 th March 2020	28
16	Deletion of Name	17 th March 2020	30
		TOTAL	3527

B) Share Certificates in respect of requests for exchange of duplicate, consolidated, subdivision, renewal, endorsement of call/ allotment money, transmission and split certificates have been issued within 21/30 days of lodgment as may be applicable.

For **DILIP BHARADIYA & ASSOCIATES**
Company Secretaries

DILIP BHARADIYA

Proprietor

FCS No. 7956, C.P. No. 6740

UDIN: F007956B000226889

Place: Mumbai

Date: May 13, 2020