Ortel Communications Ltd.

www.ortelcom.com

Bringing Convergence to India

Corporate. office : C-1, Chandrasekharpur Behind R.M.R.C., Near BDA Colony BBSR- 751016, Odisha, India Ph.: (0674) 3983200 Fax: (0674) 2303448 email - nodal@ortelgroup.com CIN: L74899DL1995PLC069353

October 15, 2019

To,

The Listing Department National Stock Exchange of India Limited Exchange Plaza, C-1, Block-G BandraKurla Complex Bandra (E), Mumbai-400051 Scrip code-ORTEL

The Deputy General Manager (Corporate Services) BSE Limited Floor 25, PhirozeJeejeebhoy Towers Dalal Street, Fort Mumbai- 400001 Scrip code-539015

Dear Sir/Madam;

Subject: Compliance Certificate in compliance with Regulation 40(9) & 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 of Ortel Communications Ltd (under CIRP) for the half of the financial year ended 30th September, 2019 of FY 2019-20

We are enclosing herewith the Compliance Certificate in compliance with Regulation 40(9) & 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 of Ortel Communications Ltd (under CIRP) for the half of the financial year ended 30th September, 2019 of FY 2019-20 as **ANNEXURE** for your information and record.

Thanking you, Yours faithfully, For Ortel Communications Limited (Under CIRP)

Bidu Bhusan Dash Company Secretary & Compliance Officer

Issued with the approval of Mr. Srigopal Choudhary ("RP"), Registration No.IBBI/IPA-001/IP-P01238/2018-2019/11893.

(Ortel Communications Limited is under Corporate Insolvency Resolution Process of the Insolvency and Bankruptcy Code 2016. Its affairs, business and assets are being managed by the Resolution Professional, Mr. Srigopal Choudhary, appointed by the National Company Law Tribunal by order dated 01st February, 2019 under the provisions of the Code)

Enclo: As above





KUMAR SURESH & ASSOCIATES Company Secretaries FCS, LL.B

1078/31, Street No. - 1. Laxman Vihar, Phase-I, Gurgaon - 122001, Haryana Ph. : 0124-4054086 Mob.: 9899378384, 9953817776 PAN No. : ABLPY2608N E-mail : cssureshyadav@gmail.com E-mail : kumarsureshassociates@gmail.com admin@csksassociates.com Website,: www.csksassociates.com

<u>Compliance certificate under Regulation 40(9) of SEBI (LODR) Reg.</u> 2015(earlier Clause 47 of Listing Agreement).

For the period ended 30th September, 2019.

Re: Ortel Communications Limited, Scrip Name: ORTEL (NSE) and Scrip Code-539015

Ortel Communications Limited ("Company") received listing permission and shares got listed on both National Stock Exchange of India Limited ("NSE") and BSE Limited ("BSE") on 19 March, 2015.

We, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Ortel Communications Limited maintained by **Karvy Fintech Private Limited** pertaining to transfer of equity shares of the company for the period from **01**st **April**, **2019** to **30th September**, **2019** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulation, 2015 entered into by, Ortel Communications Limited with NSE and BSE and based on the information provided by the Company, We hereby certify that during half year ended on **September**, **2019**:

 There were no transfers received for registration by the Company during the period 01st April, 2019 to 30th September, 2019.

Place: Gurgaon Date: 15-10-2019

For Kumar Suresh & Associates Company Secretaries

Suresh Kumar Yadav (Proprietor) M.No.6452 CP No.:6711 UDIN : F006452A000086906 R:/KFPL/OCL/TRF/40(9) DATE:11/10/2019

ORTEL COMMUNICATIONS LIMITED C-1, CHANDRASHEKHARPUR NEAR BDA COLONY BUBHANESHWAR ORISSA 751016

Dear Sir/Madam

Please find enclosed herewith statement of transfers with regard to Clause 40(9) of the LISTING AGREEMENT during the half year ended 30/09/2019. You are requested to file the same immediately with Stock Exchange where your Company's Shares are listed

Thanking You

Yours faithfully, for KARVY FINTECH PRIVATE LIMITED

Mohammed Shanoor Corporate Registry

> Karvy Fintech Private Limited (KFPL) (Formerly known as KCPL Advisory Services Private Limited)

> > Registered & Corporate Office

"Karvy Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana. Ph : +91 40 6716 2222, 3321 1000 | www.karvyfintech.com | CIN : U67200TG2017PTC117649

ORTEL COMMUNICATIONS LIMITED

AUDIT REPORT (TRF)

For the Period From 01/04/2019 To 30/09/2019					
SLNO	TRFR NO	INWARD DATE	APPR DATE	DISP DATE	NO.OF DAYS
		NIL			
		**** En	d Of Report ****		
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