



## Thirdwave Financial Intermediaries Limited

Date: 29<sup>th</sup> May, 2024

To,  
The BSE Limited  
Corporate Service Department,  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Mumbai - 400 001.

**Scrip Code: 531652**

**ISIN: INE528C01018**

**Sub: Non-Applicability of Annual Secretarial Compliance Report for the Financial Year ended as on 31<sup>st</sup> March, 2024.**

Dear Sir/Madam,

We would like to inform you that pursuant to Regulation 15(2) of SEBI (LODR) Regulations, 2015, the compliance with the corporate governance provisions as specified in Regulations 17, 18, 19, 20, 21, 22, 23, 24, 24A, 25, 26, 27 and clause (b) to (i) of Sub-Regulation (2) of Regulation 46 and Para C, D and E of Schedule V, shall not apply, in respect of:

- a) The listed entity having paid up equity share capital not exceeding rupees ten crore and net worth not exceeding rupees twenty-five crore, as on the last day of the previous financial year;
- b) The listed entity which has listed its specified securities on the SME Exchange.

Since, our Company does not fall under the criteria as per above referred regulation., the Annual Secretarial Compliance Report under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2018 read with BSE Circular dated 9<sup>th</sup> May, 2019 is not applicable to our Company.

We request you to disseminate the above information on your website as you may deem appropriate.

Thanking you,

Yours Faithfully,

For, **Thirdwave Financial Intermediaries Limited.**

Rashmi Chandrakant Vartak  
(DIN 10259700)  
Whole time Director

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