

**Ref. No.:** UTI/AMC/CS/SE/2024-25/0416

**Date:** 18<sup>th</sup> April, 2024

**National Stock Exchange of India Limited**

Exchange Plaza Plot No. C/1

G Block Bandra – Kurla Complex

Bandra East Mumbai – 400 051.

**Scrip Symbol:** UTIAMC

**BSE Limited**

Phiroze Jeejeebhoy Towers

Dalal Street

Mumbai – 400 001.

**Scrip Code / Symbol:** 543238 / UTIAMC

**Sub: Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir / Madam,

Pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith the Compliance Certificate issued by a Practicing Company Secretary under Regulation 40 (9) of the Listing Regulations for the financial year ended 31<sup>st</sup> March, 2024.

Thanking you,

For **UTI Asset Management Company Limited**

Arvind Patkar

**Company Secretary and Compliance Officer**

**Encl.:** As above



**VISHAL N. MANSETA** (B.Com, A. C. S.)

Practicing Company Secretary

Off. No. 12, B Wing, Smital Avenue, Hatkesh Udyog Nagar Road, Near JP North,  
Mira Road (East), Thane - 401107. Mob. : +91 99870 66314, +91 93217 99780

Web : [www.vishalmanseta.com](http://www.vishalmanseta.com) Email : [vishal\\_manseta@rediffmail.com](mailto:vishal_manseta@rediffmail.com) / [vishal@vishalmanseta.com](mailto:vishal@vishalmanseta.com)

**Certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**  
**For The Year Ended March 31, 2024**

I have examined all the relevant books, registers, forms, files and other documents of **UTI Asset Management Company Limited (the 'Company')** bearing Corporate Identification Number L65991MH2002PLC137867 and having its registered office at UTI Tower 'Gn' Block Bandra Kurla Complex Bandra East, Mumbai – 400 051 as maintained by its Registrar and Share Transfer Agent (RTA) *i.e.* **KFin Technologies Limited (formerly known as 'KFin Technologies Private Limited')** having its office at Selenium Building Tower - B Plot No 31 & 32 Financial District Nanakramguda Serilingampally Hyderabad Rangareddy Telangana India - 500 032 for the purpose of issuing the Certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the RTA and the Company, I hereby certify that:

- A) No physical Share Certificate along with the Share Transfer form, was lodged during the period from **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024;**
- B) Requests were processed in Demat form or Letter of confirmations were issued in respect of requests received for 3 (Three) transmission cases, 1 (One) name deletion case and 3 (Three) duplicate share certificate cases within the prescribed timelines from the respective date of lodgement of each case which is as per the listing regulations or SEBI Circulars issued from time to time.
- C) Further, there was no request for sub-division, transposition, consolidation, renewal, exchange, or endorsement of calls / allotment monies.
- D) Any other information if applicable - NIL.

For **Vishal N. Manseta**  
(Practicing Company Secretary)

Vishal  
Navinchand  
ra Manseta

Digitally signed by Vishal Navinchandra Manseta  
DN: cn=Vishal, o=Vishal, ou=Vishal, email=vishal@vishalmanseta.com, serial=1328442154121994641315621  
10000  
2.5.4.20-17347a3a079f388f907b0881e9d5  
8a979107f84620000808191430d4  
profileCode=40200, urn=urn:ietf:params:ietf:spki:basicV1, email=vishal@vishalmanseta.com, serial=1328442154121994641315621  
31507848077791da5391a63c70502a1a190c,  
c=IN, o=Vishal Navinchandra Manseta  
Date: 2024.04.18 14:26:52 +05'30'

(Vishal N. Manseta)

M. No. : 25183

C.P. No.: 8981

PRC :1584/2021

Place : Mumbai

Date: April 18, 2024

UDIN: A025183F000167677