

BIL/SE/2019-2020

To,
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001

Scrip Code: 502355

Dear Sir/Madam,

23<sup>rd</sup> May, 2019**GROWING TOGETHER** 

National Stock Exchange of India Ltd 5<sup>th</sup> Floor, Exchange Plaza Bandra Kurla Complex Bandra (E), Mumbai 400 051

Trading Symbol: BALKRISIND

Sub: Annual Secretarial Compliance Report of Balkrishna Industries Limited for the Financial Year ended March 31, 2019

In compliance with the SEBI Circular No. CIR/CFD/CMD1/27 /2019 dated February 8, 2019, please find attached the Annual Secretarial Compliance Report of Balkrishna Industries Limited for the Financial Year ended March 31, 2019, issued by the Practising Company Secretary Mr. G. B. B Babuji.

You are requested to take note of the same.

Thanking you,

Yours faithfully,

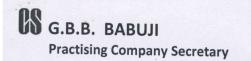
For Balkrishna Industries Limited

**Vipul Shah** 

**Director & Company Secretary** 

DIN: 05199526

Encl: a/a



B-103, Shagun Residency Plot No.22, Sector 17, Roadpali, Kalamboli Navi Mumbai 410218, Maharashtra

> Mobiles: 9819099046 / 7977589020 e-mail: <u>gbbbabuji@yahoo.co.in</u>

## Secretarial Compliance Report of Balkrishna Industries Limited for the year ended March 31, 2019

## I have examined:

- (a) all the documents and records made available to me and explanations provided by **Balkrishna Industries Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) other documents/ filings, as may be relevant, which has been relied upon to make this certification, for the year ended March 31, 2019 ("Review Period") in respect of compliance with the provisions of :
- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; Not applicable since the listed entity has not issued any securities during the period under review.
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; Not applicable since the listed entity has not bought back securities during the period under review.



- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; Not applicable since the listed entity has no such share based employee benefit scheme.
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not applicable since the listed entity has not issued any debt securities during the period under review.**
- (g) Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; Not applicable since the listed entity has not issued any Non-convertible and Redeemable Preference Shares during the period under review.
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and based on the above examination, I hereby report that, during the Review Period:
- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) No action was taken against the listed entity/ its promoters/ directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.
- (d) This being first report issued under the relevant circular, comments about action(s) taken by the listed entity to comply with the observations made in previous reports is not applicable.

Place: Navi Mumbai Date: May 09, 2019

Signature:\_\_\_ G.B.B. Babuji

Practicing Company Secretary FCS No.1182, CP No.8131

CP No. 813