

**Nouveau Global Ventures Limited**

CIN: L01407MH1988PLC049645

**Date: 19<sup>th</sup> May, 2022**

To,  
The Manager,  
Department of Corporate Services,  
BSE Limited,  
Phirozee Jeejeeboy Towers,  
Dalal Street, Fort,  
Mumbai- 400 001

**Sub: Annual Secretarial Compliance Report for the F.Y. 2021-22**

**Ref: Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015**

**Ref: Scrip ID: NOUVEAU**

**Scrip Code: 531465**

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Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019, enclosed please find Annual Secretarial Compliance Report of the Company for the financial year ended 31<sup>st</sup> March, 2022.

Kindly acknowledge the receipt of the same.

Thanking You,

Yours faithfully,

**For Nouveau Global Ventures Limited**

  
**Krishan Khadaria**  
**Managing Director**  
**DIN: 00219096**





**Secretarial Compliance Report of Nouveau Global Ventures Limited for the year ended 31<sup>st</sup> March 2022**

I have examined:

- (a) all the documents and records made available to us and explanation provided by **Nouveau Global Ventures Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; -Not Applicable
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; -Not Applicable
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; -Not Applicable
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; -Not Applicable
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; -Not Applicable
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;



(i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the dealing with client;

and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above regulations and circulars/ guidelines issued thereunder;

(b) The listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records;

(c) No action has been taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by stock exchange (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circular/guidelines issued thereunder.

(d) Listed entity has taken the following actions to comply with the observations made in previous reports:-

Sr. No	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
1	The Company is within top 2000 listed entities. With effect from April 1, 2020 board of directors shall comprise of not less than six directors.	31.03.2021	The Company has appointed Sixth Director i.e. Mohit Krishan Khadaria w.e.f. 30.11.2020.	The Company has complied with the regulation
2	The Company has not paid annual listing fees for the financial year 2020-21. The Company has also received email dated May 11, 2021 from BSE limited regarding freezing of shareholding of promoter(s) of the Company for Non-payment of Annual Listing Fees. In this regards the Company has made Representation vide its letter dated May 15, 2021 to BSE Ltd requesting to grant additional time for making payment of Annual Listing Fees.	31.03.2021	The Company has paid the ALF for the FY 2020-21 on 10 <sup>th</sup> November 2021 and had made application to the BSE to defreeze the shareholding of promoter(s).  The Company has paid the ALF for the FY 2021-22 by 5 <sup>th</sup> January 2022.	The Company has paid the ALF for the FY 2020-21 and 2021-22.

**For Ritika Agrawal & Associates**

**Ritika Agrawal**

Proprietor

M. No. 8949

COP No. 8266

UDIN: F008949D000340742



Place: Mumbai

Date: 18<sup>th</sup> May 2022