

Date: 15.10.2019

To

The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex
Bandra (E)
Mumbai- 400 051
NSE Symbol: RUPA

The Department of Corporate Services
BSE Ltd
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400 001
Scrip Code 533552

Ref: Regulation 40(10), read with Regulation 40(9), of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Sub: Submission of Certificate for the half year ended on September 30, 2019

Respected Sir/Ma'am,

With respect to above, please find enclosed herewith a Certificate, issued by Mr. Mohan Ram Goenka, a Practicing Company Secretary.

This is for your information and record.

Thanking you.

Yours faithfully,

For **RUPA & COMPANY LIMITED**



Kundan Kumar Jha
Company Secretary & Compliance Officer
ACS 17612



Encl.: As Above

MOHAN RAM GOENKA
M.Com, FCS, CFA, PGDFM, PGDPC.
Company Secretaries

46, B.B. Ganguly Street
Kolkata 700 012
Telephone: 2237 9517
Mobile No. 98310 74332
E.Mail: goenkamohan@hotmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30th September, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s Rupa & Company Limited having its registered office at 1, Ho Chi Minh Sarani, Metro Tower, 8th Floor, Kolkata-700 071**, maintained by **M/s. Maheshwari Datamatics Private Limited of 23, R.N. Mukherjee Road, 5th Floor, Kolkata 700 001, acting as the Registrar & Share Transfer Agent (RTA)** pertaining to transfer of Equity shares of the Company for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to the Listing Agreement as entered into by **M/s Rupa & Company Limited** with the Stock Exchanges, where the equity shares of the Company are listed and based on the information provided by the Company, I hereby certify that the Company has delivered during **half year ended on 30th September, 2019** :

A) Share Certificate(s) relating to the transfer of Share received during the period from **1st April, 2019 to 30th September, 2019** as entered in the Memorandum of Transfers have been issued within fifteen/ thirty days (as applicable) of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgement of each excepting those rejected on technical grounds.

UDIN No.: F004515A000075291

Place : Kolkata
Date : 14.10.2019



Moham
(Mohan Ram Goenka)
Company Secretary
C.P.No.2551