

ERAML/ANZEN/2024-25/6

April 19, 2024

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| BSE Limited P J Towers, Dalal Street, Fort, Mumbai – 400 001. Scrip Code: 543655, 974399, 974400 | National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051. Symbol : ANZEN |
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Dear Sir/Madam,

Sub: Submission of Compliance Report on Corporate Governance for the quarter ended March 31, 2024

Pursuant to Regulation 26K of the Securities and Exchange Board of India (Infrastructure Investment Trusts) Regulations, 2014, read with Master Circular No. SEBI/HO/DDHS-PoD-2/P/CIR/2023/115 dated July 06, 2023, we hereby submit the Compliance Report on Corporate Governance for Anzen India Energy Yield Plus Trust for the quarter ended March 31, 2024.

Kindly take the same on record.

Thanking you,

For ANZEN INDIA ENERGY YIELD PLUS TRUST
(acting through its Investment Manager Edelweiss Real Assets Managers Limited)

JALPA PAREKH
COMPANY SECRETARY & COMPLIANCE OFFICER
ACS 44507

CC:

| | |
|---|---|
| Axis Trustee Services Limited Axis House, Bombay Dyeing Mills Compound Pandurang Budhkar Marg, Worli, Mumbai - 400 025 | Catalyst Trusteeship Limited Windsor, 6th Floor, Office No - 604, C.S.T. Road, Kalina, Santacruz (East), Mumbai - 400 098 |
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Edelweiss Real Assets Managers Limited

Corporate Identity Number: U67110MH2021PLC362755

Registered Office: Plot 294/3, Edelweiss House, Off C.S.T. Road, Kalina,
Mumbai - 400 098

T: +91 22 4009 4400 | www.anzenenergy.in

Quarterly Compliance Report on Corporate Governance

1. Name of InvIT : **Anzen India Energy Yield Plus Trust**
2. Name of the Investment manager : **Edelweiss Real Assets Managers Limited**
3. Quarter ending : **March 31, 2024**

| I. Composition of Board of Directors of the Investment Manager | | | | | | | | | | | |
|---|-------------------------|------------------------|--|-----------------------------------|------------------------------|---------------------------------|-------------|---|---|---|--|
| Title (Mr. / Ms.) | Name of the Director | PAN ^s & DIN | Category (Chairperson / Non- Independent / Independent / Nominee) & | Initial Date of Appointment | Date of Reappoint ment | Date of Ces satio n | Ten ure* | No. of directorship s in all Managers / Investment Managers of REIT / InvIT and listed entities, including this Investment Manager | No of Independent directorship s in all Managers / Investment Managers of REIT / InvIT and listed entities, including this Investment Manager | Number of memberships in Audit Stakeholder Committee(s) in all Managers / Investment Managers of REIT / InvIT and listed entities, including this Investment Manager | Number of posts of Chairperson /in Audit Stakeholder Committee(s) in all Managers / Investment Managers of REIT / InvIT and listed entities, including |
| | | | | | | | | | | | |

| | | | | | | | | | | (Refer Regulation 26G of InvIT Regulations) | this Investment Manager (Refer Regulation 26G of InvIT Regulations) |
|-----|--------------------------------|----------------------------------|------------------------|------------|------------|---|------|---|---|---|--|
| Mr. | Venkatchalam Arakoni Ramaswamy | DIN:00008509 PAN: AADPR1740H | Non-Executive Director | 23.11.2021 | - | - | - | 4 | 0 | 3 | 1 |
| Mr. | Sunil Mitra | DIN: 00113473 PAN: AEMPM5982P | Independent Director | 23.11.2021 | 01.04.2023 | - | 28.8 | 5 | 5 | 2 | 1 |
| Mr. | Subahoo Chordia | DIN: 09216398 PAN: ADZPC4756H | Non-Executive Director | 25.06.2021 | - | - | - | 1 | 0 | 0 | 0 |
| Mr. | Shiva Kumar | DIN: 06590343 PAN: AGZPK5453C | Independent Director | 01.04.2022 | 01.04.2023 | - | 24 | 4 | 4 | 3 | 3 |

| | | | | | | | | | | | |
|--------------------------------------|------------------|--|----------------------|------------|---|---|------|---|---|---|---|
| Ms. | Ranjita Deo | DIN: 09609160 PAN: AHKPD7312H | Executive Director | 17.05.2022 | - | - | - | 1 | 0 | 1 | 0 |
| Ms. | Nupur Garg | DIN: 03414074 PAN: AGVPG6648R | Independent Director | 23.05.2023 | - | - | 10.8 | 2 | 2 | 0 | 1 |
| Ms. | Bala C Deshpande | DIN: 00020130 PAN: ABXPD4099A | Independent Director | 01.04.2023 | - | - | 12 | 2 | 2 | 2 | 0 |
| | | Whether regular chairperson appointed :- No | | | | | | | | | |
| | | Whether Chairperson is related to managing director or CEO :- No | | | | | | | | | |
| | | <p><i>§PAN of any director would not be displayed on the website of Stock Exchange.</i></p> <p><i>&Category of directors means non-independent/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.</i></p> | | | | | | | | | |
| | | <p><i>*to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the investment manager in continuity without any cooling off period.</i></p> | | | | | | | | | |
| II. Composition of Committees | | | | | | | | | | | |

| Name of Committee | Whether Regular chairperson appointed | Name of Committee members | Category (Chairperson/ Non Independent/ Independent / Nominee) & | Date of Appointment | Date of Cessation |
|--|---------------------------------------|---------------------------|--|---------------------|-------------------|
| 1. Audit Committee | No | Mr. Sunil Mitra | Independent Director | 08.07.2022 | - |
| | | Mr. Shiva Kumar | Independent Director | 08.07.2022 | - |
| | | Ms. Ranjita Deo | Non Independent Director | 08.07.2022 | - |
| | | Ms. Bala C Deshpande | Independent Director | 11.08.2023 | - |
| 2. Nomination & Remuneration Committee | No | Mr. Shiva Kumar | Independent Director | 31.03.2023 | - |
| | | Mr. Sunil Mitra | Independent Director | 08.07.2022 | - |
| | | Ms. Bala C Deshpande | Independent Director | 01.04.2023 | - |
| 3. Risk Management Committee | No | Ms. Ranjita Deo | Non Independent Director | 08.07.2022 | - |
| | | Mr. Subahoo Chordia | Non Independent Director | 11.08.2023 | - |
| | | Ms. Nupur Garg | Independent Director | 11.08.2023 | - |

| | | | | | |
|--|----|----------------------------|--------------------------|------------|---|
| | | Mr. Sunil Mitra | Independent Director | 08.07.2022 | - |
| 4. Stakeholders Relationship Committee | No | Mr. Venkatchalam Ramaswamy | Non Independent Director | 11.08.2023 | - |
| | | Mr. Shiva Kumar | Independent Director | 08.07.2022 | - |
| | | Ms. Bala C Deshpande | Independent Director | 20.04.2023 | - |

&Category of directors means non-independent/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.

III. Meetings of Board of Directors

| <i>Date(s) of Meeting (if any) in the previous quarter</i> | <i>Date(s) of Meeting (if any) in the relevant quarter</i> | <i>Whether requirement of Quorum met*</i> | <i>Number of Directors present*</i> | <i>Number of independent directors present*</i> | <i>Maximum gap between any two consecutive meetings (in number of days)</i> |
|--|--|---|-------------------------------------|---|---|
| 20.10.2023 | - | - | - | - | - |
| 02.11.2023 | - | - | - | - | 12 |
| | 15.01.2024 | Yes | 6 | 4 | 73 |
| | 09.02.2024 | Yes | 6 | 3 | 24 |
| | 27.03.2024 | Yes | 7 | 4 | 46 |

** to be filled in only for the current quarter meetings*

IV. Meetings of Committees

| Name of the Committee | Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met (details)* | Number of Directors present* | Number of independent directors present* | Date(s) of meeting of the committee in the previous quarter | Maximum gap between any two consecutive meetings (in number of days) ** |
|-------------------------------------|---|--|------------------------------|--|---|---|
| Audit Committee | - | - | - | - | 20.10.2023 | - |
| | - | - | - | - | 02.11.2023 | 12 |
| | 15-01-2024 | Yes | 4 | 3 | - | 73 |
| | 09-02-2024 | Yes | 3 | 2 | - | 24 |
| | 27-03-2024 | Yes | 4 | 3 | - | 46 |
| Nomination & Remuneration committee | - | - | - | - | - | - |
| | - | - | - | - | - | - |
| Risk Management Committee | 22-01-2024 | Yes | 4 | 2 | - | - |
| Stakeholders Relationship Committee | 09-02-2024 | Yes | 3 | 2 | - | - |

* to be filled in only for the current quarter meetings.

**This information has to be mandatorily given for audit committee and risk management committee. For rest of the committees, giving this information is optional.

Format to be submitted by investment manager for the financial year

| I. Disclosure on website of InvIT in terms of SEBI Circular No. CIR/IMD/DF/127/2016 dated November 29, 2016 | | |
|--|---|---|
| Item | Compliance status (Yes/No/NA) refer note below | If Yes provide link to website. If No / NA provide reasons |
| a) Details of business | Yes | https://www.anzenenergy.in/about-us/ |
| b) Financial information including complete copy of the Annual Report including Balance Sheet, Profit and Loss Account, etc. | Yes | https://www.anzenenergy.in/investor-relations/#financial-performance-&-valuation |
| c) Contact information of the designated officials of the company who are responsible for assisting and handling investor grievances | Yes | https://www.anzenenergy.in/contact-us/ |
| d) Email ID for grievance redressal and other relevant details | Yes | https://www.anzenenergy.in/contact-us/ |
| e) Information, report, notices, call letters, circulars, proceedings, etc. concerning units | Yes | https://www.anzenenergy.in/investor-relations/#regulatory-disclosures |
| f) All information and reports including compliance reports filed by InvIT with respect to units | Yes | https://www.anzenenergy.in/investor-relations/#other-disclosures |
| g) All intimations and announcements made by InvIT to the stock exchanges | Yes | https://www.anzenenergy.in/investor-relations/#regulatory-disclosures |

| | | |
|---|-----|---|
| h) All complaints including SCORES complaints received by the InvIT | Yes | https://www.anzenenergy.in/investor-relations/#regulatory-disclosures |
| i) Any other information which may be relevant for the investors | Yes | https://www.anzenenergy.in/investor-relations/#regulatory-disclosures |

It is certified that these contents on the website of the InvIT are correct.

| II Annual Affirmations | | |
|---|--------------------------|---|
| <i>Particulars</i> | <i>Regulation Number</i> | <i>Compliance status (Yes/No/NA) refer note below</i> |
| Independent director(s) have been appointed in terms of specified criteria of 'independence' and / or 'eligibility' | 2(1)(saa) | Yes |
| Board composition | 4(2)(e)(v), 26G, 26H(1) | Yes |
| Meeting of board of directors | 26G | Yes |
| Quorum of board meeting | 26H(2) | Yes |
| Review of Compliance Reports | 26H(3) | Yes |
| Plans for orderly succession for Appointments | 26G | Yes |
| Code of Conduct | 26G | Yes |
| Minimum Information | 26H(4) | Yes |
| Compliance Certificate | 26H(5) | Yes |
| Risk Assessment & Management | 26G | Yes |
| Performance Evaluation of Independent Directors | 26G | Yes |

| | | |
|---|-----------------|-----|
| Recommendation of Board | 26H(6) | Yes |
| Composition of Audit Committee | 26G | Yes |
| Meeting of Audit Committee | 26G | Yes |
| Composition of Nomination & Remuneration Committee | 26G | Yes |
| Quorum of Nomination and Remuneration Committee meeting | 26G | Yes |
| Meeting of Nomination & Remuneration Committee | 26G | Yes |
| Composition of Stakeholder Relationship Committee | 26G | Yes |
| Meeting of Stakeholder Relationship Committee | 26G | Yes |
| Composition and role of Risk Management Committee | 26G | Yes |
| Meeting of Risk Management Committee | 26G | Yes |
| Vigil Mechanism | 26I | Yes |
| Approval for related party Transactions | 19(3), 22(4)(a) | NA |
| Disclosure of related party transactions | 19(2) | Yes |
| Annual Secretarial Compliance Report | 26J | Yes |
| Alternate Director to Independent Director | 26G | NA |
| Maximum Tenure of Independent Director | 26G | Yes |
| Meeting of independent directors | 26G | Yes |

| | | |
|---|-----|-----|
| Familiarization of independent directors | 26G | Yes |
| Declaration from Independent Director | 26G | Yes |
| Directors and Officers insurance | 26G | Yes |
| Memberships in Committees | 26G | Yes |
| Affirmation with compliance to code of conduct from members of Board of Directors and Senior management Personnel | 26G | Yes |
| Policy with respect to Obligations of directors and senior management | 26G | Yes |
| <p>Note</p> <p>1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of InvIT Regulations, "Yes" may be indicated. Similarly, in case the InvIT has no related party transactions, the words "N.A." may be indicated.</p> <p>2 If status is "No" details of non-compliance may be given here.</p> <p>3 If the investment manager would like to provide any other information the same may be indicated here.</p> | | |
| <p>For ANZEN INDIA ENERGY YIELD PLUS TRUST (acting through its Investment Manager Edelweiss Real Assets Managers Limited)</p> <p>JALPA PAREKH COMPANY SECRETARY & COMPLIANCE OFFICER ACS 44507</p> | | |

PART C

Format to be submitted by investment manager within three months from the end of financial year

| Affirmations | | |
|---|-----------------------------------|--|
| Broad heading | Regulation Number | Compliance status (Yes/No /NA) <i>refer note below</i> |
| Copy of annual report of the InvIT including balance sheet, profit and loss account, governance report, secretarial compliance report displayed on Website | 26J, 26K and this Master Circular | Yes |
| Presence of Chairperson of Audit Committee at the Annual Meeting of Unitholders | 26G | Yes |
| Presence of Chairperson of the nomination and remuneration committee at the Annual Meeting of Unitholders | 26G | Yes |
| Presence of Chairperson of the Stakeholder Relationship committee at the Annual Meeting of Unitholders | 26G | Yes |
| Whether “Governance Report” and “Secretarial Compliance Report” disclosed in Annual Report of the InvIT | 26J and 26K | Yes |
| <p>Note</p> <p>1 In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A.</p> <p>2 If status is “No” details of non-compliance may be given here.</p> | | |

3 If the investment manager would like to provide any other information the same may be indicated here.

**For ANZEN INDIA ENERGY YIELD PLUS TRUST
(acting through its Investment Manager Edelweiss Real Assets Managers Limited)**

**JALPA PAREKH
COMPANY SECRETARY & COMPLIANCE OFFICER
ACS 44507**