

NIKKI GLOBAL FINANCE LTD.

Registered Office: 3rd Floor , Eastern and Central Wing , 124 Thapar House , Janpath, New Delhi - 110001

CIN: L65999DL1986PLC024493 • Web: www.nikkiglobal.com • E-mail : info@nikkiglobal.com

Dated: 18th April, 2024

To,
The Deputy General Manager,
Department of Corporate Services,
BSE LIMITED
PhirozeJeejeebhoy Towers Dalal Street,
Mumbai— 400001

SUB.: CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015

Ref.: NIKKI GLOBAL FINANCE LTD. (SCRIP CODE-531272)

Dear Sir/Madam,

Please find enclosed herewith Certificate from PCS under regulation 40(9) of SEBI (LODR) Regulations, 2015 for the year ended on March 31st 2024.

This is for your kind information and record.

Thanking you

For Nikki Global Finance Limited

(Disha Bajpai)
Company Secretary
M. No. A37897

Encl.: As above

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED ON 31/03/2024

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. April 01, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019. Further, SEBI vide its circular dated September 07, 2020 has fixed March 31, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no' SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly on the basis of above, we have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **NIKKI GLOBAL FINANCE LIMITED (CIN: L65999DL1986PLC024493)** maintained by **SKYLINE FINANCIAL SERVICES PRIVATE LIMITED** pertaining to transfer of equity shares of the company for the period from **1st April, 2023** to **31st March, 2024** for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (LODR) Regulations, 2015. Based on the information



provided by the Company, we hereby certify that the Company has delivered during the year ended on **March 31st 2024**;

A) Share Certificate(s) relating to the transfer of Shares received during the period from **1st April, 2023** to **31st March, 2024** as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds. **-NOT APPLICABLE**

B) During the Period under review, no request for REMAT was received by the Company.

C) The Company has not issued any debentures; hence the report is not applicable.

For V. Agnihotri & Associates

Company Secretaries

**Vaibhav
Agnihotri**

Digitally signed by
Vaibhav Agnihotri
Date: 2024.04.18
11:25:17 +05'30'

Vaibhav Agnihotri

(Proprietor)

Mem No. 10363

C. P. No.: 21596

UDIN: F010363F000162781

Place: Kanpur

Dated: 18/04/2024