



Date: April 13, 2024

The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

Dear Sir/Madam,

We enclose herewith the Certificate as required under Regulation 40(10) of SEBI (LODR) Regulations, 2015 issued by Gaurav Arora, Company Secretary dated April 12, 2024 for the year ended 31.03.2024.

Kindly find the above in order and acknowledge the same.

Thanking you,

For Aravali Securities and Finance Limited


Ruchi Shrivastava
Company Secretary



Encl: As above

ARAVALI SECURITIES & FINANCE LIMITED

REGD. OFFICE : RIDER HOUSE, Ground Floor, Plot No. 136, Sector-44, Gurgaon-122003

Phone : +91-124-4284578/4284580/4284582

CIN : L67120HR1980PLC039125 | Website: www.aravalisecurities.com | Email : info@aravalisecurities.com



Gaurav Arora & Co.

Company Secretaries

Office No. G-12 G/F Plot No. H-7 Aggarwal Plaza,
Netaji Subhash Place, New Delhi-110034

acs.gauravarora@gmail.com



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CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2024

I Gaurav Arora, Practicing Company Secretary, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ARAVALI SECURITIES AND FINANCE LIMITED** maintained by **SKYLINE FINANCIAL SERVICES PRIVATE LIMITED**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st April, 2023 to 31st March, 2024** for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company / RTA , I hereby certify that during the period from **1st April, 2023 to 31st March, 2024**:

- a) has issued all share certificates in respect of request received for transfer during the period from **1st April, 2023 to 31st March, 2024**. as entered in the Memorandum of Transfers, within thirty days from respective date of lodgment of each deed except those rejected on technical grounds; and
- b) There were no cases sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from **1st April, 2023 to 31st March, 2024**. Hence, issue of Certificates within 30 days from the said sub-division, consolidation, etc. during the Financial Year ended **31st March, 2024** is not applicable and therefore, no Share Certificates for the same were issued.



Place: New Delhi
Date:12.04.2024

Gaurav Arora & Co
Practicing Company Secretary

UDIN: A048327F000105967