



To,  
Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, C-1, G Block,  
Bandra Kurla Complex,  
Bandra (East), Mumbai - 400 051.

Department of Corporate Service  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001.

**Symbol: ANGELONE**

**Scrip Code: 543235**

Dear Sir/ Madam,

**Sub: Compliance Certificate pursuant to the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith copy of the Certificate received from Makarand M. Joshi & Co., the Practicing Company Secretary for year ended 31<sup>st</sup> March, 2024.

Kindly take the above intimation on your record.

Thanking You,  
Yours faithfully,  
**For Angel One Limited**

**Naheed Patel**  
**Company Secretary and Compliance Officer**  
**Date: April 15, 2024**  
**Place: Mumbai**



**CSO, Corporate & Regd Office:**

601, 6th Floor, Ackruti Star, Central Road, MIDC,  
Andheri (E), Mumbai - 400093.  
T: (022) 2820 5943 | (022) 4000 3600  
F: (022) 4000 3609  
E: support@angelone.in  
www.angelone.in

**Angel One Limited**

CIN: L67120MH1996PLC101709,  
SEBI Registration No Stock Broker: INZ000161534,  
CDSL: IN-DP-384-2018, PMS: INP000001546,  
Research Analyst: INH000000164, Investment Advisor: INA000008172,  
AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED MARCH 31, 2024**

We have examined Memorandum of Transfers, Registers, files and other documents relating to **M/s Angel One Limited ("the Company")** maintained by **M/s Link Intime India Private Limited**, Registrars and Transfer Agents ("RTA") of the Company pertaining to equity shares of the company for the period from April 01, 2023 to March 31, 2024 ('review period') for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 ('Listing Regulations') and based on the information provided by the Company and RTA, we hereby certify for the review period.

- A. There was no request received and processed for Share transfer during the review period.
- B. There was no request for dematerialisation, transmissions, name deletion, duplicate share certificate, sub-division, transposition, consolidation, renewal, exchange, or endorsement of calls/ allotment monies.

**For Makarand M. Joshi & Co.  
Company Secretaries**

KUMUDINI  
DINESH  
BHALERAO

Digitally signed by  
KUMUDINI DINESH  
BHALERAO  
Date: 2024.04.15 12:42:00  
+05'30'

**Kumudini Bhalerao  
Partner**

**FCS: 6667**

**CP: 6690**

**PR: 640/2019**

**UDIN: F006667F000119846**

**Date: 15-04-2024**

**Place: Mumbai**

**Head Office**

Ecstasy, 802-805, 8th Floor, Citi Of Joy, JSD, Mulund West, Mumbai 400080 Maharashtra

**Board Number:** +91 22 2167 8100 **Nasik Branch:** 0253- 2316533, 2516455 [www.mmjc.in](http://www.mmjc.in)