

RPL/CORP/SE
April 16, 2024

The Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400001
Scrip Code: 517500

The Listing Department
The National Stock Exchange of India Limited
Exchange Plaza,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051
Symbol: ROTO

Dear Sirs,

Sub: **Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for year ended March 31, 2024**

Please find enclosed herewith the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as issued by M/s. Dayal & Maur, Company Secretaries, New Delhi for the year ended as on March 31, 2024.

Kindly acknowledge the receipt of the same.

Thanking You

Yours Faithfully,
For **ROTO PUMPS LTD.**

ASHWANI K. VERMA
COMPANY SECRETARY

Encl.: A/a

ROTO PUMPS LTD.

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CIN - L28991UP1975PLC004152 **🌐:** www.rotopumps.com



Dayal & Maur
Company Secretaries
2/6 A, LGF, Jungpura-A
New Delhi-110014
Tel: +91 11 47534394
E-mail: dayalmaur@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2024

We have examined all relevant documents relating to equity shares of Roto Pumps Limited ("the Company") maintained & providing electronically by **RCMC Share Registry Private Limited**, Registrar and Share Transfer Agent (RTA) of the Company pertaining to the financial year ended 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

Based on our examination, explanations & information furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that:

- A) The Company has not received any request for physical transfer of shares during the year ended on 31st March, 2024
- B) The company has issued letter of confirmation in lieu of physical share certificate in respect of requests relating to issue of duplicate share certificate, deletion of name, transmission/transposition of securities, consolidation, sub-division (split) and renewal received during the financial year ended 31st March, 2024 within the prescribed time as per SEBI's operational guidelines, except those rejected on technical grounds. As informed to us there were no requests relating to, exchange or endorsement of call/allotment monies during the said period.

Place: New Delhi
Date: 16.04.2024



For Dayal & Maur
Company Secretaries

A handwritten signature in black ink, appearing to be "Shailesh Dayal".

Shailesh Dayal
(Partner)
FCS: 4897, CP No. 7142
FRN: P2007DE092500
UDIN: F004897F000141385
Peer Review Cert. No. 923/2020

Contact
Shailesh Dayal: 98112 55855