



Date: 22/04/2023

To,
National Stock Exchange of India Limited (“NSE”),
The Listing Department
“Exchange Plaza”, 5th Floor,
Plot No. C/1, G Block, Bandra-Kurla Complex
Bandra (East), Mumbai – 400 051.

NSE Symbol: SULA
ISIN: INE142Q01026

To,
BSE Limited (“BSE”),
Corporate Relationship Department,
2nd Floor, New Trading Ring,
P.J. Towers, Dalal Street,
Mumbai – 400 001.

BSE Scrip Code: 543711
ISIN: INE142Q01026

Sub: **Compliance Certificate issued in terms of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended (“SEBI LODR”) issued by Practicing Company Secretary**

Ref.: Regulation 40 (9) of SEBI LODR

Dear Sir/Ma’am,

Pursuant to regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended (“SEBI LODR Regulations”), we enclosed herewith the Compliance Certificate in terms of Regulation 40(9) of SEBI LODR Regulations received by the Company dated 18th April, 2024 for the year ended March 31, 2024, from Martinho Ferrao & Associates, Practicing Company Secretary.

Also please find enclosed herewith the certificate in this regard as received from KFin Technologies Limited, Registrar and Share Transfer Agent of the Company dated 4th April, 2024.

We request you to kindly take this on your record.

Thanking you,
For Sula Vineyards Limited

Ruchi Sathe
Company Secretary and Compliance Officer
Membership No.: A33566

Encl.: a/a



Sula Vineyards Limited

(formerly known as Sula Vineyards Private Limited)

Regd. Office: 901, Hubtown Solaris, N.S. Phadke Marg, Andheri (E), Mumbai 400069, Maharashtra, India.

Tel: 022-6128 0606/607 Fax: 022-2684 6064 Email: info@sulawines.com CIN: L15549MH2003PLC139352

Winery: Gat 36/2, Govardhan Village, Gangapur-Savargaon Road, Nashik 422 222, Maharashtra, India Tel: +91 253 3027777/701

www.sulavineyards.com

To,

National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051	BSE Limited Corporate Services, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001
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Dear Sir / Madam,

Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015 for the year ended 31st March 2024

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Sula Vineyards Limited** maintained by **KFin Technologies Limited**, Registrars and Share Transfer Agents of the Company pertaining to transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of equity shares of the Company for the financial year ended **31st March 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- Two request were received relating to physical share transfer during the period 1st April, 2023 to 31st March, 2024 and the same was processed within the stipulated time.
- Has issued all share certificates in respect of request received for subdivision / consolidation and renewal /duplicate during the period 1st April, 2023 to 31st March, 2024, within thirty days from respective date of lodgment of each request excepting those rejected on technical grounds.
- has not received any request for exchange or endorsement of calls/ allotment monies.

For Martinho Ferrao & Associates
Company Secretaries


Martinho Ferrao
Proprietor
F.C.S. No. 6221
C.P. No. 5676
PR 951/2020
UDIN: F006221F000173135



Place: Mumbai
Date: 18th April, 2024

R:/KFIN/TPL/TRF/40(9)
Date: 04-04-2024

SULA VINEYARDS LIMITED
901 HUBTOWN SOLARIS N.S. PHADKE MARG
ANDHERI (E) MUMBAI 400069, MAHARASHTRA,A

400069

Dear Sir/Madam,

We confirm that there are no transfers with regard to Clause 40(9) of the LISTING AGREEMENT during the year ended 31/03/2024. You are requested to file the same immediately with Stock Exchange where your Company's Shares are listed.

Thanking You

Yours faithfully,
for KFIN TECHNOLOGIES LIMITED



PRAVEEN CHATURVEDI
VICE PRESIDENT