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# Upsurge Investment & Finance Ltd.

10<sup>th</sup> January, 2024

To,  
**BSE Limited,**  
Corporate Relationship Department,  
2<sup>nd</sup> Floor, New Trading Wing,  
Rotunda Building, P.J. Towers,  
Dalal Street,  
Mumbai – 400001

**Ref: Upsurge Investment & Finance Limited (BSE Scrip Code 531390; ISIN INE890B01014)**

Dear Sir/Madam,

**Subject: Intimation in terms of Regulation 30 of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for appointment of Company Secretary & Compliance Officer**

With reference to the captioned subject matter and pursuant to Regulation 30 (6) read with the Para A Part A of Schedule III of SEBI (LODR) Regulations, 2015, this is to inform you that on basis of recommendation of Nomination and Remuneration Committee, the Board of Directors of the Company at their meeting held on Wednesday, 10<sup>th</sup> January, 2024 appointed Ms. Apoorva Yadav (Membership No. A72872) as Company Secretary and Compliance Officer of the Company w.e.f. 10<sup>th</sup> January, 2024.

The details as required under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular no. CIR/CFD/CMD/4/2015 dated September 9, 2015 are enclosed as **Annexure-I**.

Brief profile of Ms. Apoorva Yadav is enclosed as **Annexure-II**.

Request you to take the above information on record.

Thanking you

Yours faithfully,

**For Upsurge Investment & Finance Limited**

**Dayakrishna Goyal**  
**Managing Director**  
**DIN: 00398539**

Encl. as above



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# Upsurge Investment & Finance Ltd.

Annexure I

**Information as required under Regulation 30- Part A of Para A of Schedule III of SEBI  
(Listing Obligations and Disclosure Requirements) Regulation, 2015**

<b>Sr No.</b>	<b>Particulars</b>	<b>Description</b>
1.	Reason for change	Appointment of Company Secretary & Compliance Officer of the Company
2.	Date of appointment/ cessation & term of appointment	10 <sup>th</sup> January, 2024
3.	Brief Profile	Enclosed as Annexure II
4.	Disclosure of relationships between directors	None of the Directors of the Company are inter-se related to Ms. Apoorva Yadav.



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# Upsurge Investment & Finance Ltd.

Annexure II

## Brief Profile of Ms. Apoorva Yadav

Ms. Apoorva Yadav is an Associate Member of Institute of Company Secretaries of India (ICSI) and is also Commerce & Law Graduate. During her graduation she secured 2<sup>nd</sup> and 3<sup>rd</sup> rank in semester I and II respectively.

She possesses over 2 years of experience in a reputed listed entity as a CS Trainee. During her period of internship, she has assisted the Corporate Secretarial Team in adhering with the compliances applicable on listed entities.

**For Upsurge Investment & Finance Limited**

**Dayakrishna Goyal**  
**Managing Director**  
**DIN: 00398539**