



KELTECH ENERGIES LIMITED

Ref: KEL/SEC/BSE/2024-25

Date: April 25, 2024

To,

The Senior General Manager,
(Listing Compliance Manager)
BSE Limited,
24th Floor, P.J. Towers,
Dalal Street, Fort,
Mumbai – 400 001
Scrip Code: 506528

Respected Sir/Madam,

Subject: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended 31st March 2024

Enclosed herewith the Certificate dated April 25, 2023 issued by Practising Company Secretaries – M/s Swaroop Suri and Associates (FCS No. 8977, CP No. 9997) pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the Financial Year ended 31st March 2024.

We request you to take the same on your record.

Thanking you.

For Keltech Energies Limited

POONAM D CHOUDHARY

Company Secretary and Compliance Officer

CERTIFICATE

(Under Regulation 40 (9) of Securities and Exchange Board Of India (Listing Obligations and Disclosure Requirements) Regulations 2015 for the financial year ended 31st March 2024)

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 8, 2018, and vide its press release dated December 3, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. April 1, 2019. SEBI vide its press release dated March 27, 2019, also clarified that the transfer deeds lodged prior to the deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019. Also vide its circular dated September 7, 2020, SEBI, has fixed March 31, 2021, as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has also specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 2, 2020.

Further, Securities and Exchange Board of India (SEBI) vide its Gazette Notification no. SEBI/LAD-NRO/GN/2022/66 dated January 24, 2022 read with circular number SEBI/HO/MIRSD_RTAMB/ P/CIR/2022/8 dated January 25, 2022 has mandated that listed companies shall henceforth issue securities in dematerialized form only, while processing requests for inter alia issue of duplicate securities certificate, renewal/ exchange of securities certificate, endorsement, sub-division/ splitting of securities certificate, consolidation of securities certificates/ folios, transmission and transposition.

The circular further mandates that RTA/ Issuer companies shall after verifying and processing the requests, issue a 'Letter of confirmation' in lieu of physical securities certificate(s) to the securities holder/ claimant within 30 days of the receipt of such requests after removing objections, if any.

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Keltech Energies Limited maintained by M/s. Canbank Computer Services Limited, Registrar and Share Transfer Agents pertaining to transfer of fully paid equity shares of the Company for the period from 1st April, 2023 to 31st March 2024 (Financial Year 2023-24) for the purpose of issuing a Certificate under Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015 and based on the information provided by the Company, I certify that:

1. The Company is not in receipt of any requests for transfer of shares received during the period from 1st April, 2023 to 31st March 2024 (Financial Year 2023-24). Hence, the issue of Certificates under the said regulation do not arise.



2. Share Certificate(s)/ Letter of Confirmation, as the case may be, relating to the transmission/ change of name of shareholders /deletion of name, Exchange of share certificates, issue of duplicate share certificates, as received during the period from 1st April, 2023 to 31st March 2024 (Financial Year 2023-24), have been issued within thirty days of the date of transmission/change of name of shareholders/ deletion of name, Exchange of share certificates, issue of duplicate share certificates from the respective date of lodgement except for those rejected on technical grounds;
3. As informed to us, there were no requests relating to subdivision (split), renewal, consolidation, renewal and endorsement of calls or other monies were received during the said period.



Place : Bengaluru
Date : 25.04.2024
UDIN : F008977F000236424

For Swaroop Suri and Associates
Company Secretaries
ICSI Unique Code: S2012KR181500
Peer Review Certificate No: 3302/2023

Swaroop S
Proprietor
FCS No. 8977 CP No. 9997