

By E-filing

REF: TEIL:SE:

Date: April 10, 2024

The Deputy General Manager Department of Corporate Services, BSE Limited 1 <sup>st</sup> Floor, New Trading Ring, Rotunda Building, P.J. Tower, Dalal Street, Fort, MUMBAI - 400 001	The Asst. Vice President, Listing Department National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra (E), MUMBAI - 400 051
<b>STOCK CODE: 532356</b>	<b>STOCK CODE: TRIVENI</b>
<b>Sub: Certificate Pursuant to Regulation 40 ( 9 )</b>	

Dear Sir,

Please find enclose Certificate pursuant to Regulation 40 (9) of the Securities and Exchange Board of India (listing Obligation and Disclosure Requirements) Regulations, 2015, given by Mr. Suresh Gupta, practicing Company Secretary, for the period April 1, 2023 to March 31, 2024.

You are requested to kindly take the same on your record.

Thanking you,

Yours faithfully,

For TRIVENI ENGINEERING & INDUSTRIES LTD.,



**GEETA BHALLA**  
Group Vice President &  
Company Secretary  
M.No. ACS9475

# SURESH GUPTA & ASSOCIATES

## COMPANY SECRETARIES

204, Silver Complex, A-73, Opp. Metro Pillar No. 60, Near Nirman, Vihar Metro Station,  
Laxmi Nagar, Delhi-110092

Mob. 9312257002, Ph. 011-43061664, Mail: [sureshguptacs@gmail.com](mailto:sureshguptacs@gmail.com), [www.sureshgupta.in](http://www.sureshgupta.in)

### **CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2024.**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to M/s Triveni Engineering And Industries Limited having its registered office at A-44, Hosiery Complex, Phase - II Extn., Noida - 201 305 (U.P.) maintained by their Registrar and Transfer agent M/s KFin Technologies Limited (Formerly known as "KFin Technologies Private Limited") pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Sub-regulation (9) of regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by M/s Triveni Engineering And Industries Limited and based on the information provided by the Company and Share Transfer Agent, we hereby certify that during the year ended on 31<sup>st</sup> March, 2024.

- A) No request for transfer, transmission of share, sub-division, renewal, exchange of certificates and endorsement for payment of call / allotment money has been received by the company, and  
B) Received requests for issue of duplicate share certificates and the same were processed with in the timelines.

*For Suresh Gupta & Associates  
Company Secretaries*



*Suresh Gupta*

*(Proprietor)*

*FCS No.:5660*

*CP No.:5204*

*Peer Review Cert. No. 740/2020*

*UDIN: F005660F000071616*

*Date :10/04/2024*

*Place: Noida*