



**VERTEX SECURITIES LIMITED**

(A Subsidiary of Transwarranty Finance Limited)  
Thottathil Towers, II Floor, Market Road, Ernakulam, Kochi - 682018  
Telephone : 0484 2384848  
Fax : 0484 2394209  
Website : www.vertexbroking.com  
Email : vsm@vertexbroking.com

January 15, 2024

The Manager,  
Listing Department  
**BSE Limited**  
P.J. Towers,  
Dalal Street, Fort,  
Mumbai 400001  
**BSE Scrip Code: 531950**

Dear Sir/Madam,

**Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2023**

We hereby enclose the certificate received under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2023 from M/s. Link Intime India Private Limited, Registrar and Share Transfer Agent (RTA) of the Company.

Please take the same on record.

Thanking You.

Yours faithfully,  
For **Vertex Securities Limited**

**Aniket Malekar**  
**Company Secretary & Compliance Officer**  
Membership No.: A62478

Encl: A/a

Date : 05-01-2024

To,  
The Compliance Officer/ Company Secretary  
VERTEX SECURITIES LIMITED  
VERTEX SECURITIES LIMITED  
403, REGENT CHAMBERS,  
NARIMAN POINT, MUMBAI- 21  
MUMBAI  
PINCODE : 400021

Sub : Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir/Madam,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December 2023, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For **Link Intime India Pvt.Ltd.**



Ashok Shetty  
Vice President-Corporate Registry

Note : You are requested to inform Depositorie(s) and Stock Exchange(s) wherever your security are listed accordingly.