

Date: 15th April, 2024

To, Listing Department National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex Bandra (East), Mumbai- 400 051 Scrip Code: EMKAY	To, Listing Department BSE Limited P. J. Tower, Dalal Street, Mumbai 400 001 Scrip Code: 532737
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Dear Sir,

Sub: Submission of Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a copy of the Certificate issued by Mr. Mohammad Pillikandlu, Practicing Company Secretary dated 15th April, 2024 for the financial year ended on 31st March, 2024.

Kindly acknowledge the receipt of the same and take the same on record.

Thanking you,

Yours faithfully,

For Emkay Global Financial Services Limited

B. M. Raul
Company Secretary & Compliance Officer



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to EMKAY GLOBAL FINANCIAL SERVICES LIMITED ('the Company') maintained and provided electronically by Link Intime India Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) As per the records made available to us there were no requests relating to transfers, transmission of securities, deletion of name, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the financial year ended 31st March, 2024.

B) Any other information, if applicable: -

Place : Mumbai
Date : April 15, 2024

Signature:

For Parikh Parekh & Associates
Company Secretaries

**Mohammad
Fakruddin
Pillikandlu**

Digitally signed by Mohammad Fakruddin Pillikandlu
DN: c=IN, o=Personal, title=1778,
pseudonym=D03E0019860EF1294C9337F568A1C13
F,
2.5.4.20=8214168fbf82ba39874cb0ab53326d2fd33f
894c2ce72823a068907c52b5d7,
postalCode=400058, st=Maharashtra,
serialNumber=572EBCED0431E121C4DCBAC81B193B
6412E2B2E04485121C598EDA4564A57C0B8,
cn=Mohammad Fakruddin Pillikandlu
Date: 2024.04.15 11:17:27 +05'30'

Mohammad Pillikandlu
FCS No: 10619 CP No: 14603

UDIN: **F010619F000118650**

PR No.: 723/2020