

SWARNA SECURITIES LIMITED

(CIN: L52520AP1990PLC011031)

Ph: 2575928

27/04/2024

To
Listing Compliance Department
BSE Limited, P J Towers, Dalal Street
Mumbai -400001, India.

Dear Sir / Madam,

Sub: Certificate under SEBI (LODR) Reg 40(9) for the quarter and year ended March 31st, 2024.

Ref: SWARNA SECURITIES LTD - 531003

Please find enclosed herewith Certificate received from Practicing Company Secretaries, certifying compliance with Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the quarter and year ended March 31st, 2024.

Thanking you.

Yours sincerely,

For **Swarna Securities Limited**

ANIL KUMAR MOOLA
Digitally signed by
ANIL KUMAR MOOLA
Date: 2024.04.27
18:26:42 +05'30'

Moola Anil Kumar
Company Secretary & Compliance Officer
Membership No: A27989

Encl: As above

II FLOOR, SWARNALOK COMPLEX, GOVERNORPET, VIJAYAWADA - 520002, AP, INDIA.

email: swarnasecurities@rediffmail.com

Website: www.swarnasecurities.com



CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024

I / We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Swarna Securities Limited (“the Company”)**, having Reg. office at 2nd Floor, Swarna Lok Complex, Governorpet, Vijayawada – 500002, Andhra Pradesh, India, maintained by **Aarathi Consultants (P) Limited (“the RTA”)**, having office at 1-2-285, Domalguda, Hyderabad – 500029, with SEBI Registration No. INRO00000379, pertaining to transfer of shares, sub-division, consolidation, duplicate, renewal, exchange or endorsement of calls/allotment monies received by the company for the period from 01/04/2023 to 31/03/2024 (“the period”) for the purpose of issuing a Certificate as per Regulation 40(9) read with 40(10) of the SEBI (LODR) Regulations 2015 (“the Regulations”) and based on the information provided by the Company and the RTA, I/ We hereby certify that during the period:

1. not received any request relating to transfers, sub-division, deletion of name, transposition, replacement, split, consolidation, renewal, exchange or endorsement of calls/ allotment monies by Company or RTA, therefore the Company or RTA was not required to deliver any certificates within the stipulated time under the above said Regulations.
2. the Company / RTA received requests related to transmissions during the period and all certificates have been issued within the stipulated time as per the said Regulations.

Kode

Hemachand

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Hemachand
Date: 2024.04.27
18:17:51 +05'30'

Signature_____

Kode Hemachand

Practicing Company Secretary

M No: 35463

CP No.13416

UDIN: A035463F000259753

Date: 27/04/2024

Place: Vijayawada