

UJJIVAN/SE/2024-25/04

April 08, 2024

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the period from 1st April 2023 to 31st March 2024.

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Certificate for the period from 1st April 2023 to 31st March 2024, duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

Please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited

Shashidhara S
Company Secretary

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
(LISTING REGULATIONS)**

FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024

I have examined Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN FINANCIAL SERVICES LIMITED (the "Company")** bearing CIN: **L65999KA2004PLC035329** having its Registered Office at Grape Garden, No. 27, 3rd "A" Cross, 18th Main, 6th Block, Koramangala, Bengaluru - 560 095 maintained by **KFin Technologies Limited**, Registrars and Transfer Agents ("RTA") of the Company pertaining to transfer of equity shares of the Company for the period from **April 01, 2023 to March 31, 2024 ("Audit Period")** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 and based on the information provided by the Company and RTA, I hereby certify for the Audit Period that:

1. There were no transfer and transmission of shares, RTA has not processed any Share Transfer and transmission requests and consequently there was no issue of Share Certificate(s) related to Share Transfer during the Audit Period.
2. There was no request received for deletion, correction of names and duplicate shares during the Audit Period.
3. There were no instances of sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period covered in this certificate.
4. Any other information, if applicable: Nil

Place : Bengaluru
Dated: 08.04.2024

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Digitally signed by
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JAYACHANDRAN
Date: 2024.04.08
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K JAYACHANDRAN
CP NO. 4031
UDIN: A011309F000052671