

Upsurge Investment & Finance Ltd.

Date: 06.11.2023

To BSE Limited Corporate Relationship Department 25th Floor, P J Towers Dalal Street, Fort, Mumbai – 400 001

Dear Sir/Madam,

BSE Scrip Code: 531390

Unit: UPSURGE INVESTMENT AND FINANCE LIMITED.

Sub: Intimation regarding issuance of Duplicate Share Certificate(s) under Regulation 39(3) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 39(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and our intimation to Stock Exchange dated 25th October, 2023, we hereby inform you that the Company has issued duplicate share certificate(s) to the following shareholder(s):

Folio No.	Name of the shareholder	Certificate No. (old)	Distinctive Nos. From To	No. of Shares	New Certificate No.
003697	NAMRATA KANDHARI	40394	4039301 4039400	100	900326

Kindly take this information on record and oblige.

Thanking you,

Yours faithfully,

For Upsurge Investment & Finance Limited

Dayakrishna Goyal Managing Director