

16th April, 2024

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

National Stock Exchange of India Ltd

Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex,
Bandra (East), Mumbai - 400 051

Scrip Code: 521070

Symbol: ALOKINDS

Dear Sir/Madam,

Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We enclose herewith a certificate for the year ended 31st March, 2024, duly signed by Mr. Virendra G Bhatt, Practicing Company Secretary, pursuant to the provisions of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please take the same on record.

Thanking you,

Yours faithfully,
For **Alok Industries Limited**

Hitesh Kanani
Company Secretary & Compliance Officer
Membership No. F6188

Virendra Bhatt

Company Secretary

Office :

Office No.: 03, A Wing, 9th Floor,
Pinnacle Corporate Park,
BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai - 400 051

Tel.: 022 - 2652 9367 / 68

Mobile No.: +91 98200 48670

Email : bhattvirendra1945@yahoo.co.in

Name of the Company : ALOK INDUSTRIES LIMITED

CIN : L17110DN1986PLC000334

Registered Office : 17/5/1, 521/1, Village: Rakholi / Saily, Silvassa, Dadra
& Nagar Haveli - 396230

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR
ENDED 31ST MARCH, 2024**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018 ("SEBI's operational guidelines"), amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 ("SEBI's operational guidelines") had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

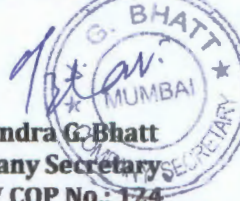
Accordingly, I have examined all the relevant documents relating to **ALOK INDUSTRIES LIMITED** maintained by **Link Intime India Private Limited ("RTA")** for the purpose of issuing the Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from **01st April, 2023 to 31st March, 2024** and based on the information provided, I hereby certify that:

- A. The Company has delivered/sent during year ended on 31st March, 2024, letters of confirmation as per SEBI's operational guidelines, for execution of change of name and issue of duplicate securities certificate received during the financial year ended 31st March, 2024, within the prescribed time from the respective date of lodgement.



B. As informed to me, the RTA has not processed any physical transfer, transposition of securities, renewal, consolidation, exchange and sub-division (split) etc. as per SEBI's operational guidelines.

Date: 16th April, 2024
Place: Mumbai


Virendra G. Bhatt
Practicing Company Secretary
ACS No.: 1157 / COP No.: 124
Peer Review Cert. No.: 1439/2021

UDIN: A001157F000139515