

# GOLD ROCK INVESTMENTS LIMITED

CIN NO.: L65990MH1978PLC020117

Regd. Off.: 507, 5th Floor, Plot No. 31, 1, Sharda Chamber, Narsi Natha Street, Bhat Bazar  
Masjid, Chinchbunder Mumbai-400009

Tel.:022-49734998 E-mail id: [goldrockinvest@yahoo.co.in](mailto:goldrockinvest@yahoo.co.in) Website: [www.goldrockinvest.in](http://www.goldrockinvest.in)

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11<sup>th</sup> April, 2024

To,  
The General Manager  
Department of Corporate Services,  
BSE Limited,  
P J Towers, Dalal Street,  
Mumbai-400 001

Dear Sir

**BSE CODE: 501111**

**Sub: Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements)  
Regulations, 2015 Compliance Certificate related to transfer or transmission or  
transportation of Securities.**

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Please find enclosed herewith Compliance Certificate related to transfer or transmission or transportation of securities as per **Regulation 40(9) of SEBI (LODR) Regulations 2015** issued by M/s Aashish K. Bhatt & Associates, Practicing Company Secretaries for the year ended **March 31, 2024**.

Kindly take the above on record.

Thanking you,

Yours faithfully,  
For Gold Rock Investments Limited

**Alok  
Mukherjee**

Digitally signed by  
Alok Mukherjee  
Date: 2024.04.11  
16:54:03 +05'30'



Alok Mukherjee  
Managing Director  
Din: 00186055

Encl: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015.



# AASHISH K. BHATT & ASSOCIATES

## Practicing Company Secretaries

**Aashish K. Bhatt**  
B.Com., A.C.S., PGDSL

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF  
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Goldrock Investments Ltd** (hereinafter referred to as "the Company") maintained by its Registrar and Transfer Agent – Alankit Assignments Limited, New Delhi (RTA) pertaining to transfer of equity shares of the Company for the period from April 1, 2023 to March 31, 2024, for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the Company and its RTA, I hereby certify that the Company has delivered during the financial year ended on March 31, 2024:

- A) Share Certificates relating to the Share Transfer Deeds received during the period from April 1, 2023 to March 31, 2024 as entered in the Memorandum of Transfers and have been issued within 30 days from respective date of lodgement of each deed except those rejected on technical grounds.
- B) All Certificates in respect of valid and complete requests for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies and issue of duplicate and split certificates have been issued within thirty days from the date of their lodgement.

Place: Mumbai  
Date : 11.04.2024



For Aashish K. Bhatt & Associates  
Company Secretary in Practice

Aashish Bhatt  
M. No. 19639, C.P. No. 7023  
UDIN: A019639F000090160