

**IL&FS Investment Managers Limited**

The IL&FS Financial Centre  
Plot C-22, G-Block  
Bandra Kurla Complex  
Bandra East  
Mumbai 400 051  
India

**T** +91 22 2653 3333  
**F** +91 22 2653 3056  
**W** [www.iimlindia.com](http://www.iimlindia.com)

Corporate Identification No - L65999MH1986PLC147981

April 15, 2024

The Manager  
The Listing Department  
National Stock Exchange Limited  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
**Mumbai 400 051**

The Manager  
The Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
**Mumbai 400 023**

**NSE Symbol: IVC**  
**BSE Security Code: 511208**  
**ISIN : INE050B01023**

**Re : Certificate under Regulation 40(9)**

Dear Sir :

Please find enclosed herewith the Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the period April 1, 2023 to March 31, 2024

Kindly acknowledge receipt of the same

Thanking you,

Yours sincerely,

**Sanjay Mitra**  
**Company Secretary & Compliance Officer**

Encl: as above

# Mehta & Mehta

## COMPANY SECRETARIES

201-206, Shiv Smriti Chambers, 2nd Floor, 49/A, Dr. Annie Besant Road, Above Corporation Bank, Worli, Mumbai-400 018  
Tel.: +91-22-6611 9696. ●E-mail: dipti@mehta-mehta.com. ●Visit us: [www.mehta-mehta.com](http://www.mehta-mehta.com)

AUTHORISED AGENTS FOR TRADEMARK, COPYRIGHT AND PATENT

Date: 12<sup>th</sup> April, 2024

To,  
The Board of Directors  
IL&FS Investment Managers Limited,  
Plot No.C-22, G Block,  
Bandra Kurla Complex,  
Bandra (E),Mumbai 400 051

Dear Sirs,

**Sub: - Certificate under Regulation 40(9) Of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

We have examined the data received from IL&FS Investment Managers Limited ("the Company") maintained by Link Intime India Private Limited (Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Regulation 40 (9) Of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by, IL&FS Investment Managers Limited with National Stock Exchange of India Limited and BSE Limited and based on the information provided by the Company and the Registrar & Share Transfer Agent, we hereby certify that the Company has issued for the year ended 31<sup>st</sup> March, 2024.

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 as entered in the Memorandum of Transfers have been issued *within thirty days* of the date of lodgment for transfer, name deletion, replacement, sub-division, consolidation, renewal, exchange from respective date of lodgment. (As per Annexure "A")
- B) Share Certificates in respect of requests for issue of transmission certificates have been issued *within thirty days* of lodgment. (As per Annexure "A")

FOR MEHTA & MEHTA,  
Unique Code No.:P1996MH007500  
Company Secretaries,

*M. Bhandari*

Partner  
CS Monali Bhandari  
UDIN: A027091F000104291  
Encl.: Annexure - 'A'



# Mehta & Mehta

COMPANY SECRETARIES

**ANNEXURE - 'A'**

IL &amp; FS Investment Managers Limited

DETAILS FOR THE PERIOD 1<sup>st</sup> APRIL, 2023 to 31<sup>st</sup> MARCH, 2024

TOTAL NO. OF TRANSFERS/ DELETION/DUPLICATE/REPLAC EMENT DEED RECEIVED	TOTAL NO. OF TRANSFERS/ DELETION/DUPLICATE/REPLACEMENT FOR WHICH CERTIFICATES WERE DISPATCHED.	
	WITHIN 30 DAYS FROM DATE OF RECEIPT.	AFTER 30 DAYS FROM DATE OF RECEIPT
32	32	00

TOTAL NO. OF TRANSMISSION RECEIVED	TOTAL NO. OF TRANSMISSION FOR WHICH CERTIFICATES WERE DISPATCHED.	
	WITHIN 30 DAYS FROM DATE OF RECEIPT.	AFTER 30 DAYS FROM DATE OF RECEIPT
12	12	00

FOR MEHTA & MEHTA  
Unique Code No.: P1996MH007500  
Company Secretaries,

*M. Bhandari*

Partner  
CS Monali Bhandari  
UDIN: A027091F000104291  
Date : 12<sup>th</sup> April, 2024

