## **SRESTHA FINVEST LIMITED**

Date: January 03, 2024

To The Manager Listing Department

- 1. Bombay Stock Exchange Ltd, Mumbai
- 2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the guarter ended 31/12/2023

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 31/12/2023 are as follows:

- 1. Disclosure under 13(3) of SEBI (LODR) Regulations, 2015, Investor Complaints for the quarter ended December 31, 2023.
- 2. Compliance Report on Corporate Governance for the quarter ended December 31, 2023.
- 3. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2023.

This is for your information and records.

Yours faithfully, For Srestha Finvest Limited

A. JITENDRA KUMAR BAFNA

Digitally signed by A. JITENDRA KUMAR BAFNA Date: 2024.01.03 12:53:11 +05'30'

Company Secretary & Compliance Officer

**Encl: as above** 



## **PURVA SHAREGISTRY (I) PVT. LTD.**

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)
GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011 Tel: 022-3199 8810 / 4961 4132 • Email: support@purvashare.com • Web: www.purvashare.com

01/01/2024

To.

SRESTHA FINVEST LIMITED - INE606K01023

Dear Sir/Madam.

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended December, 2023, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully, For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

## SRESTHA FINVEST LIMITED - INE606K01023 - Details of Share certificates dematerialised from 01/10/2023 to 31/12/2023

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
00000477	ARUNACHALA M PALANIAPPAN	0101220	75280001	75280250	250	12/10/2023
P0010005	PADMA KUMARI S	0100886	6181501	6184000	2500	12/10/2023
P0010005	PADMA KUMARI S	0101937	75636901	75637150	250	12/10/2023
00000003	SURENDRA KUMAR NAHAR	0101049	75004001	75005250	1250	18/10/2023
S0010003	SANTOSH GOYAL	0101229	75293501	75294750	1250	16/12/2023
S0010003	SANTOSH GOYAL	0102103	2747501	2760000	12500	16/12/2023