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# Upsurge Investment & Finance Ltd.

**Date:** 27<sup>th</sup> December, 2023

To,  
BSE Limited  
25<sup>th</sup> Floor, P J Towers,  
Dalal Street, Fort  
Mumbai- 400001

**Sub: Disclosure under Regulation 7 (2) read with Regulation 6(2) of the SEBI  
(Prohibition of Insider Trading) Regulation, 2015.**

**Scrip Code: 531390**

Dear Sir/Madam,

With reference to above, we are enclosing herewith Form C received from the Promoter of the Company under Regulation 7 (2) read with Regulation 6(2) of the SEBI (Prohibition of Insider Trading) Regulation, 2015.

We have received the necessary disclosures from them under above mentioned regulation.

You are also requested to take above on your record.

Thanking you,

Yours faithfully,

**For UPSURGE INVESTMENT AND FINANCE LIMITED**

**Dayakrishna Goyal**  
**Managing Director**  
DIN: 00398539

Encl: Form C

**PRATIBHA GOYAL**

B-503, Royal Classic,  
New Link road,  
Next to Citi Mall,  
Andher West, Mumbai- 400053  
27<sup>th</sup> December, 2023

To,  
The Managing Director  
Upsurge Investment & Finance Limited  
Off No. 303, Morya Landmark- 1,  
Opp. Infiniti Mall, New Link Road,  
Andheri (West), Mumbai- 400053

Sub: **Disclosure under Regulation 7(2) read with Regulation 6(2) of the SEBI  
(Prohibition of Insider Trading) Regulation, 2015.**

Dear Sir,

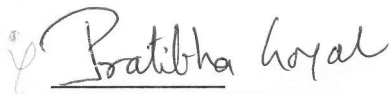
With reference to above and in accordance of Regulation 7 (2) of the SEBI (Prohibition of Insider Trading) Regulation, 2015, I am enclosing herewith Form C under Regulation 7(2) read with Regulation 6(2) of the SEBI (Prohibition of Insider Trading) Regulation, 2015.

You are requested to make a required disclosure to the stock exchanges under Regulation 7(2) of the SEBI (Prohibition of Insider Trading) Regulation, 2015.

You are also requested to kindly acknowledge the receipt of the same.

Thanking you,

Yours faithfully,



**PRATIBHA GOYAL**

Encl: Form C

**FORM C****SEBI (Prohibition of Insider Trading) Regulations, 2015****[Regulation 7(2) read with Regulation 6(2) – Continual disclosure]**Name of the company: Upsurge Investment & Finance LimitedISIN of the company: INE890B01014**Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

Name, PAN, CIN/DIN, & address With contact nos.	Category of person (Promoters/ Member of the Promoter Group/Designated Person/ Directors/ immediate relative to/others etc.)	Securities held prior to acquisition/ <del>disposal</del>		Securities acquired/ <del>Disposed</del>				Securities held post acquisition/ <del>disposal</del>		Date of allotment advice/ acquisition of shares/ <del>disposal</del> of shares specify		Date of intimation to company	Mode of acquisition / <del>disposal</del> (on market/public/ rights/ preferential offer / off market/ Inter-se transfer, ESOPs etc.)	Exchange on which trade was executed
		Type of security (For eg. – Shares, Warrants, Convertible Debentures, Right Entitlements etc.)	No. and % of Shareholding	Type of security (For eg. – Shares, Warrants, Convertible Debentures, Right Entitlements etc.)	No.	Value	Transaction Type (Purchase Sale/ Pledge / Revocation/ Invocation)	Type of security (For eg. – Shares, Warrants, Convertible Debentures, Right Entitlement etc.)	No. and % Of shareholding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13		
Pratibha Goyal, PAN:AHAPG4289L Add: B-503, Royal Classic New Link Road next to Citi Mall, Andheri west - Mumbai 400053	Promoter and Director	Equity Shares	15,87,543 (10.48%)	Equity Shares	4,16,742 (2.75%)	1,27,44,396	Market Purchase	Equity Shares	20,04,285 (13.23%)	26/12/2023	26/12/2023	27/12/2023	Open Market	BSE Limited

**Note: (i)** "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.**(ii)** Value of transaction excludes taxes/brokerage/any other charges

**Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of listed company and Immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

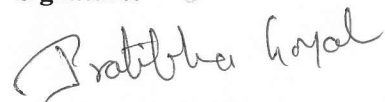
**Not applicable**

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of contract	Contract Specifications	BUY		SELL		
		Notional Value	Number of units (contracts*lot size)	Notional Value	Number of units (contracts*lot size)	
<b>Not applicable</b>						

***Note: In case of Options, notional value shall be calculated based on premium plus strike price options.***

**Name: Pratibha Goyal**

**Signature:**



**Designation: Promoter and Director**

**Date: 27<sup>th</sup> December, 2023**

**Place: Mumbai**