

Secretarial Section

Head Office, 57- V.E. Road,
Thoothukudi – 628 002.

☎: 0461-2325136

e-mail: secretarial@tmbank.in

CIN: L65110TN1921PLC001908



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Ref.No.TMB.SE.26/2024-25

30.04.2024

The Manager,
National Stock Exchange of India Ltd,
Exchange Plaza, 5th Floor, Plot No. C/1,
'G' Block, Bandra - Kurla Complex,
Bandra (East), Mumbai - 400 051.

The Manager,
Bombay Stock Exchange limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001.

Ref: Symbol: TMB / Scrip Code: 543596

Dear Sir/Madam,

Sub: Compliance under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”), we are enclosing the copy of the certificate issued under Regulation 40(9) of the SEBI Listing Regulations, by M/s. SPNP & Associates, Practicing Company Secretaries, for the year ended March 31, 2024.

Kindly take the information on record.

Yours faithfully,

For Tamilnad Mercantile Bank Limited



Swapnil Yelgaonkar

Compliance Officer

Membership No: ACS 21877



Date: 29/04/2024

To,

TAMILNAD MERCANTILE BANK LIMITED,
57,Victoria Extension Road,
Thoothukudi, TamilNadu-628002

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**

FOR THE YEAR ENDED 31st MARCH 2024

Securities and Exchange Board of India (SEBI) by circulars number SEBI/HO/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 and SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/70 dated May 25, 2022 has mandated that listed companies shall issue securities in dematerialized form only, while processing requests for inter alia issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, renewal/exchange of securities certificate, endorsement, sub-division/ splitting of securities certificate, consolidation of securities certificates/ folios, transmission and transposition. The circular further mandates that RTA/ Issuer companies shall after verifying and processing the requests, issue a 'Letter of Confirmation' in lieu of physical securities certificate(s) to the securities holder/claimant within 30 days of the receipt of such requests after removing objections, if any.

Accordingly, on the basis of examination of all relevant registers, process sheets, documents and papers of **Tamilnad Mercantile Bank Limited ("the Bank")**, made available to us through its Registrar and Share Transfer Agent viz. **M/s. Link Intime India Private Limited** for the purpose of issuing the certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Bank, we hereby certify the following for the year ended on 31st March 2024.

- A) The Bank has not received any request for share transfer during the Period from **1st April 2023 to 31st March 2024.**



SPNP & ASSOCIATES
Practising Company Secretaries

No.10/28, II Floor, 3rd Cross Street, R.K. Nagar, Raja Annamalaipuram, Chennai - 600 028.
snpnassociates@gmail.com # Phone: 044 4215 3510, 4320 1250, Mobile : 95660 33011



- B) The Share Certificates/Letter of Confirmation relating to the requests received for share transmission, replacement, deletion, issuance of duplicate share certificate and change in name in share certificate during the period from **1st April, 2023 to 31st March 2024** as entered in the Memorandum of Transfers have been issued within the stipulated period from the receipt of such request except in the following instance:
- The request for duplicate share certificate was received from Mr. Karuppaiah on May 13, 2023 (Inward no. 800272), and the approval by the RTA was granted on June 16, 2023. However, the share certificate was sent to the shareholder on June 17, 2023, thereby extending the prescribed timeline.
- C) Based on the records available, the Bank has not received any requests for the exchange of share certificates, endorsement, and sub-division, consolidation and splitting of share certificates/folios, and transposition during the period from **1st April 2023 to 31st March 2024**.

For SPNP & Associates

Date: 29/04/2024
Place: Chennai



Nithya Pasupathy
Partner

Membership number: 10601
C.O.P. number: 22562
Peer Review No.: 1913/2022
UDIN F010601F000268782

CC:

Link Intime India Private Limited,
C-101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai - 400083

SPNP& Associates
Practising Company Secretaries
No.10/28, 11th floor, 3rd Cross street, R.K.Nagar, Raja Annamalaipuram, Chennai – 600028.
snpnassociates@gmail.com #Phone:044 42153510, 43201250, Mobile: 9566033011.