

# SWARNA SECURITIES LIMITED

(CIN: L52520AP1990PLC011031)

Ph: 2575928

Date: 27/04/2024

To

**The Corporate Relations Department,  
BSE Limited, 1<sup>st</sup> Floor, New Trading Ring,  
Rotunda Building, P.J. Towers,  
Dalal Street, Fort, Mumbai - 400 001**

Dear Sir / Madam,

**Sub:** Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter and year ended 31<sup>st</sup> March, 2024.

**Ref:** Swarna Securities Ltd - 531003

Please find enclosed Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the quarter and year ended 31<sup>st</sup> March, 2024.

Please take the same on record.

Thanking You,

Yours Faithfully,

For **Swarna Securities Limited**

ANIL KUMAR MOOLA Digitally signed by ANIL  
KUMAR MOOLA  
Date: 2024.04.27 17:13:47  
+05'30'

**Moola Anil Kumar  
Company Secretary & Compliance Officer  
Membership No: A27989**

**Encl:** As above

# SWARNA SECURITIES LIMITED

(CIN: L52520AP1990PLC011031)

Ph: 2575928

**COMPLIANCE CERTIFICATE UNDER REGULATION 7(3) OF THE SEBI  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2024**

1. We the undersigned, hereby certify that all activities pertaining to transfer of equity shares both in physical and electronic form of the company (Script Code: BSE-531003) for the period from 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 have been maintained by the Registrar & Share Transfer Agent (RTA) M/s. **AARTHI CONSULTANTS PRIVATE LIMITED** (SEBI registration number INR000000379), having office at 1-2-285, Domalguda, Hyderabad, Telangana-500029 in effective and efficient manner.
2. This Certificate is issued in pursuance of Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and should not be used for any other purpose.

For **Swarna Securities Limited**

ANIL  
KUMAR  
MOOLA

Digitally signed  
by ANIL KUMAR  
MOOLA  
Date: 2024.04.27  
16:29:15 +05'30'

**Moola Anil Kumar  
Company Secretary  
& Compliance Officer  
Membership No: A27989**

For **Aarthi Consultants Private Ltd**  
Registrar & Share Transfer Agent (RTA)  
SEBI Registration Number INR000000379

Gorthy  
Bhaskara  
Murthy

Digitally signed  
by Gorthy  
Bhaskara Murthy  
Date: 2024.04.27  
16:59:31 +05'30'

**Authorized Signatory**

**Place:** Hyderabad

**Date:** 27.04.2024