



April 19, 2024

BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400 001  
Scrip Code: 500770

National Stock Exchange of India Ltd.  
Exchange Plaza  
Bandra-Kurla Complex Bandra (E)  
Mumbai 400 051  
Symbol: TATACHEM

Dear Sir,

**Sub: Compliance under Regulation 40 (9) and (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024**

Pursuant to Regulation 40 (9) and (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, enclosed please find the certificate dated April 19, 2024 issued by Mr. Madhav Kawde, Practicing Company Secretary for the financial year ended March 31, 2024.

This is for your information and records.

Thanking you,

**Yours faithfully,  
For Tata Chemicals Limited**

**Rajiv Chandan  
Chief General Counsel  
& Company Secretary**

Encl. a/a

**TATA CHEMICALS LIMITED**

Bombay House 24 Homi Mody Street Fort Mumbai 400 001  
Tel 91 22 6665 8282 Fax 91 22 6665 8143/44 [www.tatachemicals.com](http://www.tatachemicals.com)  
CIN : L24239MH1939PLC002893

# Madhav Kawde

## Company Secretary

Office No. 614, 7<sup>th</sup> Floor, CFB-APMC

Fruit Market Complex, Sector – 19,

Vashi Turbhe, Navi Mumbai – 400 705

Tel: 022 – 49747847; Cell: 9820316292

Email: [csmadhavkawde@gmail.com](mailto:csmadhavkawde@gmail.com) / [madhavkawde@rediffmail.com](mailto:madhavkawde@rediffmail.com)

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### **Certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

#### **FOR THE YEAR ENDED ON MARCH 31, 2024**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. April 01, 2019. Further, SEBI vide its circular dated September 07, 2020 had fixed March 31, 2021 as the cut – off date for re – lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI had also specified operational guidelines for transfer and dematerialization of re - lodged physical shares vide its circular dated December 02, 2020.

SEBI vide gazette notification dated January 24, 2022, SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 read with Master Circular dated May 17, 2023, had mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub – division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **TATA CHEMICALS LIMITED** ("the Company") maintained by its Registrar and Transfer Agent (RTA) i.e. **LINK INTIME INDIA PRIVATE LIMITED** (*erstwhile known as TSR Consultants Private Limited which has merged with Link Intime India Private Limited w.e.f. December 22, 2023*) pertaining to the financial year ended March 31, 2024 for the purpose of issuing certificate as per Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

A. 1.a. the Company has not processed any transfer requests during the financial year ended March 31, 2024.

1.b. the Company has delivered during the year ended on March 31, 2024, letters of confirmation as per SEBI's operational guidelines for execution of transmission of securities, deletion of name, transposition of securities, consolidation, sub – division (split) and renewal received during financial year ended March 31, 2024 within the prescribed time.

As per records made available to me, there were no requests relating to exchange or endorsement of calls/ allotment monies received during the said period.

B. Any other information, if applicable: - N.A.

**Date: 19<sup>th</sup> April, 2024**  
**Place: Mumbai**

MADHAV K  
KAWDE

**MADHAV KAWDE**  
**COMPANY SECRETARY**  
**FCS: - 3251, C.P. No.:- 1892**  
**UDIN : F003251F000188238**