



# Smruthi Organics Limited

---

Date: 11-04-2024

To  
Corporate Relation Department  
BSE Limited  
P. J. Tower, Dalal Street,  
Mumbai - 400 001.

To  
Listing Department  
Metropolitan Stock Exchange of India Ltd  
Building A, Unit 205A, 2nd Floor, Piramal  
Agastya Corporate Park,  
L.B.S Road, Kurla West, Mumbai - 400 070

Sub: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

Ref: No. Stock Code: 540686 / SMRUTHI

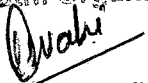
We are submitting Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 received from Practising Company Secretary for year ended 31<sup>st</sup> March 2024.

Please take the same on record.

Thanking you.

Yours faithfully

For Smruthi Organics Limited

  
Urvashi D. Khanna  
Company Secretary & Compliance Officer



---

OFFICE : 'BALAJI BHAVAN' 165-A, RAILWAY LINES, SOLAPUR-413 001. (INDIA) ■ PHONE : 2310267, 2310367, 2311467  
FAX-NO. : 091 - 217 - 2310268 ■ MUMBAI OFFICE : PH. : 24129211 TELEFAX NO. : 091- 022 - 24155452

FACTORY : UNIT II : PLOT NO. A-27, M.I.D.C. CHINCHOLI, TAL. MOHOL, DIST. SOLAPUR - 413 255. (INDIA)  
PHONE : 2357491 to 2357494 ■ FAX : 0217-2357491 ■ VISIT US : [www.smruthiorganics.com](http://www.smruthiorganics.com)  
E-mail : [eaga@smruthiorganics.com](mailto:eaga@smruthiorganics.com)



**COMPLIANCE CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

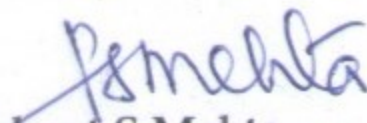
**FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2024**

Securities and Exchange Board of India ("SEBI") vide gazette notification dated 24<sup>th</sup> January, 2022 read with circular no. SEBI/ HO/ MIRSD/ MIRSD\_RTAMB/ P/ CIR/ 2022/8 dated 25<sup>th</sup> January, 2022 has mandated that companies shall henceforth issue securities in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition. This circular further mandates that RTA/Issuer companies shall after verifying and processing the requests, issue a 'Letter of confirmation' in lieu of physical securities certificate(s) to the securities holder/claimant within 30 days of the receipt of such requests after removing objections, if any. SEBI has also specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

Accordingly, on the basis of examination of the relevant documents relating to Smruthi Organics Limited ("the Company") maintained and provided electronically by Adroit Corporate Services Private Limited, the Registrar and Share Transfer Agents ("RTA") of the Company, pertaining to the financial year ended 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that:

- The Company has delivered a Letter of Confirmation as per SEBI's operational guidelines for execution of transmission of securities during the financial year ended 31<sup>st</sup> March, 2024, within prescribed time, except those rejected on technical grounds, if any;
- There were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.

For P Mehta & Associates.  
Practising Company Secretaries

  
Prashant S Mehta  
(Proprietor)  
ACS No. 5814  
C.P. No. 17341

**PRASHANT S. MEHTA**  
COMPANY SECRETARY  
M. NO. 5814 CP. NO. 17341



Date: April 10, 2024  
Place: Mumbai

UDIN: A005814F000076885  
PR No. 2354/2022