

FUTURISTIC SECURITIES LIMITED

CIN: L65990MH1971PLC015137

Regd. Off: 202, Ashford Chambers, Lady Jamshedji Road, Mahim (West), Mumbai - 400 016

Tel: 022 24476800 Fax: 022 24476999

Email: futuristicsecuritieslimited@yahoo.in website: www.futuristicsecurities.com

Date: April 8, 2024

To,
BSE Limited
Corporate Relation Department
First Floor, New Trading Ring,
Rotunda Building,
P.J. Tower, Dalal Street,
Mumbai - 400 051

Scrip Code: 523113

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report of the Company for the year ended March 31, 2024 pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirement) (Amendment) Regulations, 2018

With reference to the subject cited, please find enclosed Annual Secretarial Compliance Report for the year ended 31/03/2024 pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirement) (Amendment) Regulations, 2018.

Please take the above information on record.

FOR FUTURISTIC SECURITIES LIMITED

PRADEEP JATWALA
DIRECTOR
(DIN: 00053991)

POOJA MALKAN & CO.

Practicing Company Secretary

Off : F/703, Nimmit Agarwal Building, Dahnukarwadi, M.G.Road, Kandivali (West)

Mumbai -400067

Mob: 9820842304 Email : cspoojashah@gmail.com

Secretarial Compliance Report

FUTURISTIC SECURITIES LIMITED

For the financial year ended 31/03/2024

We, Pooja Malkan & Co. Company Secretaries, having our office at F/703, Agarwal Nimmit, Dahnukarwadi, Kandivali West Mumbai - 400067 have examined:

- (a) all the documents and records made available to us and explanation provided by **Futuristic Securities Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31/03/2024 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;

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- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.
- (h) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009 and circulars and guidelines thereunder

Additional affirmations in Annual Secretarial Compliance Report (ASCR) in terms of the BSE circular reference No. 20230316-14 and NSE Circular Ref No: NSE/CML/ 2023/21 both dated 16 March 2023.

S No.	Particulars	Compliance Status Yes/No/NA	Observation s/Remarks by PCS
1	<u>Secretarial Standards:</u> The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)	Yes	
2	<u>Adoption and timely updation of the Policies:</u> <ul style="list-style-type: none">All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entitiesAll the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI	Yes	
3.	<u>Maintenance and disclosures on Website:</u> <ul style="list-style-type: none">The Listed entity is maintaining a functional websiteTimely dissemination of the documents/ information under a separate section on the websiteWeb-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website	Yes	
4	<u>Disqualification of Director:</u> None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013	Yes	
5	<u>To examine details related to Subsidiaries of listed entities:</u> (a) Identification of material subsidiary companies (b) Requirements with respect to disclosure of material as well as other subsidiaries	Yes	No subsidiary Company
6	<u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and	Yes	

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	disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.		
7	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI regulations	Yes	
8	<u>Related Party Transactions:</u> (a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions (b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ ratified/rejected by the Audit committee	Yes	
9	<u>Disclosure of events or information:</u> The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	
10	<u>Prohibition of Insider Trading:</u> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015	Yes	
11	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> No Actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder	Yes	
12	<u>Additional Non-compliances, if any:</u> No any additional non-compliance observed for all SEBI regulation/circular/guidance note etc.	Yes	

*Observations/Remarks by PCS are mandatory if the Compliance status is provided as 'No' or 'NA'

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity where ever it is applicable, has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

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S No	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviation	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the PCS	Management Response	Remarks
	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

(b) Futuristic Securities Limited, the listed entity do have the paid up capital less than Rs.10 Crores and networth less than 25 Crores and hence the compliance with the corporate governance provisions as specified in SEBI (LODR) regulations 17, 17A, 18, 19, 20, 21,22, 23, 24, 24A, 25, 26, 27 and clauses (b) to (i) of sub-regulation (2) of regulation 46 and para C , D and E of Schedule V is not applicable to the entity.

(c) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

S No	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviation	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the PCS	Management Response	Remarks
	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

POOJA
APURVA
MALKAN

Digitally signed
by POOJA
APURVA MALKAN
Date: 2024.04.07
17:41:50 +05'30'

Pooja Malkan

ACS No: 28365 / CP No.: 19938

UDIN: A028365F000050244

P R Certificate No. 3488/2023

Place: Mumbai

Date: 07/04/2024