



PEGBTM
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Pune E-Stock Broking Limited Member NSE BSE MCX CDSL
(Previously known as Pune e - Stock Broking Private Limited)
1198, Shukrawar Peth Subhashnagar Lane No. 3 Near Hirabaug Pune 411 002
Tel. : +91 20 41000600 Web : www.pesb.co.in Fax : +91 20 24498100
Email : info@pesb.co.in CIN No.: U67120PN2007PLC130374

April 25, 2024

To,
The Manager- Listing Department,
BSE Limited
P. J. Towers, Dalal Street, Fort,
Mumbai - 400 001

Subject: Compliance Certificate under Regulation 40(9) read with Regulation 40(10) of SEBI (LODR) Regulations, 2015 for the half year ended on March 31, 2024.

Ref.: Scrip Code: 544141 (Pune E- Stock Broking Limited)

Pursuant to Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015, please find enclosed herewith a certificate dated April 24, 2024, issued by a Practicing Company Secretary M/s. Shailesh Indapurkar & Associates, Company Secretaries on the functions of share transfer works conducted by Registrar & Transfer Agent (RTA) on behalf of the company for a period from 1st October, 2023 to March 31, 2024.

You are requested to take the same on your record.

Thanking You,

Yours faithfully,

For Pune E- Stock Broking Limited

Ashwini Kulkarni

Ashwini Ashish Kulkarni
Company Secretary and Compliance Officer



**SHAILESH INDAPURKAR & ASSOCIATES
COMPANY SECRETARIES**

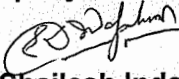
Radha Ramkrishna Society, Flat No.5,
1st Floor, 1626, Sadashiv Peth, Gopal
Gayan Samaj Road, Pune 411 030
Phone No: 020- 29900257
Mobile No: 98812 57930
E-mail: indapurkarcs@gmail.com

**CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI (LISTING OBLIGATION &
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON
31ST MARCH 2024**

We have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, file and other documents, relating to Pune E - Stock Broking Limited (Company) (CIN: U67120PN2007PLC130374) (having its registered office at 1198, Shukrawar Peth, Subhash Nagar, Lane No-3, Pune-411002), maintained by BIGSHARE SERVICES PRIVATE LIMITED (RTA) having its registered office at, Pinnacle Business Park Off No-S6-2, 6th Floor Mahakali Caves Road, Chakala MIDC, Mumbai-400093 Mh India pertaining to transfer of equity shares of the company during the period from 1st October 2023 to 31st March 2024 for the purpose of issuing Certificate as per clause 40 (9) of the SEBI (Listing Obligation & Disclosure Requirements) Regulations 2015 and based on the information provided by the Company, we hereby certify that during the period of 1st October 2023 to 31st March 2024:

- A) As the transfer of shares held in physical form is not permitted, RTA has not processed any share Transfer requests and consequently there was no issue of Share Certificate(s) related to Share Transfer during the half year ended 31st March 2024;
- B) There were no requests relating issue of share certificates on account of duplicate issue, transmission, deletion of joint name if any, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the period from i.e. 15th March 2024 (Date on which Company's securities got listed on BSE SME Exchange) till 31st March 2024

**For Shailesh Indapurkar & Associates
Company Secretaries**


CS Shailesh Indapurkar
Proprietor
CP No 5701
UDIN: A017306F000228187
Date: 24th April 2024
Place: Pune

