

Ref: MSSL/009/2024-2025

April 18, 2024

The Deputy General Manager – Listing,
The Stock Exchange, Mumbai,
Phiroze Jeejebhoy Towers, Dalal Street,
Mumbai-400 001.

Dear Sir,

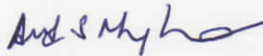
Re: **Certificate under Clause 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015**

As required under Clause 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, we hereby enclose the Certificate obtained by us from a Practicing Company Secretary for the period April 1, 2023 to March 31, 2024.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,
For MODERN SHARES AND STOCKBROKERS LIMITED



Anil S Manghnani
Whole Time Director

DIN No : 00012806

Anil G Jani

B.COM, LLB, FCS

Anil Jani & Company
COMPANY SECRETARIES

Ref No. AJ/MSSL/Reg. 40(9)/24-25/001

To,
The Board of Directors,
Modern Shares & Stockbrokers Limited,
(CIN: L45200MH1939PLC002958)
Wankhede Stadium, North Stand,
Staircase No. 13, D' Road,
Churchgate, Mumbai 400020.

Dear Sirs,

Sub: Compliance Certificate Under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015

I have examined all the relevant papers, documents, registers, forms, files and other records produced before me relating to **Modern Shares & Stockbrokers Limited**, ('the Company') as maintained by its Registrar and Share Transfer Agent **Link Intime India Private Limited**, and based on such examination as well as information and explanations furnished to me which to the best of my knowledge and belief were necessary for the purpose of issuing this certificate for the year ended **31st March, 2024** as required under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015, I hereby certify that

- i) Share Certificate in respect of request for Transfers, Transmission, sub-division/consolidation/duplicate/objections of Equity Shares received during the period from **01st April 2023 to 31st March, 2024** as entered in the Memorandum of Transfers have been issued within Thirty days of the date of lodgment for transfer from respective date of lodgment of each deed, excepting those rejected on technical grounds and there were no request for renewal, exchange or endorsement of calls/allotment monies during this period.

**FOR ANIL JANI & COMPANY
COMPANY SECRETARIES**

Place: MUMBAI
Date: 18/04/2024

**PROPRIETOR
(Membership No: F2506)
(C.P.NO.: 9154)**

Peer Review Certificate No: 870/2020

UDIN No. F002506F000167462