

Date: 07 November, 2023

To, The Manager Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001

The Secretary The Calcutta Stock Exchange Ltd. 7, Lyons Range Kolkata- 700 001

### Sub.: Intimation for loss of share certificate under Regulation 39(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI Listing Regulations, 2015)

Dear Sir /Madam,

Pursuant to Regulation 39(3) of the SEBI Listing Regulations, 2015, this is to inform you that the Company has received intimation on October 30, 2023, from our Registrar and Share Transfer Agent viz., Skyline Financial services Private Limited for loss of shares/issue of duplicate shares from shareholder as per details given below:

| Sr.    | Name of the Shareholder(s) | No. of | Folio  | Share Certificate                                    | <b>Distinctive</b> No | <b>).</b> |
|--------|----------------------------|--------|--------|--|-----------------------|-----------|
| No.    |                            | Shares | No.    | No.  | From                  | To        |
| 1      | Sudhir Saksena             | 100    | S0261  | 13240 & 13241  | 869801                | 869900    |
|        |                            | 50     | S0261  | 26773  | 1539810               | 1539859   |
| 21,995 |                            | 50     | \$0261 | 56657  | 3029221               | 3029270   |
|        | ·····                      | 60     | S0261  | 70894 & 70895  | 4042824               | 4042883   |
|        |                            | 300    | S0261  | 202155, 202156,<br>202157, 202158,<br>202159 &202160 | 11499241              | 11499540  |
|        |                            | 40     | S0261  | 202165   | 11499741              | 11499780  |

The Company will issue the letter of confirmation in lieu of original share certificate as required by SEBI vide its circular dated 25 January 2022.

Thanking you,

For Aravali Securities & Finance Limited Shrivastava **Company Secretary** 

## **ARAVALI SECURITIES & FINANCE LIMITED**

REGD. OFFICE : RIDER HOUSE, Ground Floor, Plot No. 136, Sector-44, Gurgaon-122003 Phone : +91-124-4284578/4284580/4284582 CIN : L67120HR1980PLC039125 | Website: <u>www.aravalisecurities.com</u> | Email : info@aravalisecurities.com

#### JKUL KUMAR INDIA NON JUDICIAL MU STAMP VENDOR Government of Uttar Pradesfence No.- 541 Mob. No.- 9625650654 e-Stamp IN-UP84402704341766V Certificate No. 13-Apr-2023 04:26 PM Certificate Issued Date NEWIMPACC (SV)/ up14094904/ GHAZIABAD SADAR/ UP-GZB Account Reference SUBIN-UPUP1409490463098874980051V Unique Doc. Reference SUDHIR SAKSENA • Purchased by Article 4 Affidavit • Description of Document Not Applicable **Property Description** Consideration Price (Rs.) SUDHIR SAKSENA • First Party

Not Applicable

SUDHIR SAKSENA

(One Hundred only)

AIRATON

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Second Party

Stamp Duty Paid By

Stamp Duty Amount(Rs.)

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this Stamp cartificate should be verified at 'www.shoilestamp.com' or using e-Stamp Mobile App of Stock. Hold ng the details on this Certificate and as available on the website / Mobile App renders it invalid The animanticity of this

The onur of checking the legitimacy is on the users of the certificate my discrepancy please inform the Competent Authority

## FORM -A

## AFFIDAVIT

## [For issuance of duplicate securities]

## Note: This affidavit is to be executed in the presence of a Public Notary

## [To be submitted in non-judicial stamp paper of appropriate value]

 I/We,\_\_\_\_\_Sudhir Saksena \_\_\_\_\_\_Son / daughter/spouse of Rameshwar Dayal \_\_\_\_\_residing at \_\_\_\_\_S/O Rameshwar

 Dayal Saksena, 607-A, Sunbreeze Apartment-1, Plot no-3, Sector 5 Vaishali, Ghaziabad, I.E

 Sahibabad, Uttar Pradesh 201010 \_\_\_\_\_\_, having Permanent Account No (s) \_\_\_\_\_\_\_ do hereby solemnly affirm and state on oath as follows.

1. That I/We, \_\_\_\_\_Sudhir Saksena \_\_\_\_\_ ("all the shareholders") hold the following \_\_\_\_\_600 Shares under Folio no. S0261\_\_\_\_\_ in \_\_\_Aravali Securities & Finance Ltd \_\_\_\_\_(name of the company) in my/ our name as single holder / joint holder:

| •      | Company Name                        | Folio No. | No. of securities<br>held | Security<br>Certificate<br>No. | Distinctive No.    |
|--------|-------------------------------------|-----------|---------------------------|--------------------------------|--------------------|
| 1)     | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 13240,                         | 869801- 869850     |
| 2)     | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 13241,                         | 869851-869900      |
| VIUNIS | Aravali Securities &                | S0261     | 50 Shares                 | 26773,                         | 1539810- 1539859   |
|        | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 56657,                         | 3029221- 3029270   |
| - OF   | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 70894,                         | 4042824- 4042873   |
| Sec.   | Aravali Securities &<br>Finance Ltd | S0261     | 10 Shares                 | 70895,                         | 4042874- 4042883   |
|        | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 202155,                        | 11499241- 11499290 |
|        | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 202156,                        | 11499291- 11499340 |
|        | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 202157,                        | 11499341- 11499390 |
|        | Aravali Securities &                | S0261     | 50 Shares                 | 202158,                        | 11499391- 11499440 |

| Finance Ltd                         |       |           |         |                    |
|-------------------------------------|-------|-----------|---------|--------------------|
| Aravali Securities &<br>Finance Ltd | S0261 | 50 Shares | 202159, | 11499441- 11499490 |
| Aravali Securities &<br>Finance Ltd | S0261 | 50 Shares | 202160, | 11499491- 11499540 |
| Aravali Securities &<br>Finance Ltd | S0261 | 40 Shares | 202165  | 11499741- 11499780 |

- I/We \_\_\_\_\_\_Sudhir Saksena \_\_\_\_\_\_further swear / solemnly declare that I/ we am/are applying for issue of duplicate certificate(s) to me/us on the ground that the original security(ies) certificate(s) has/have been misplaced / not found by me/us, despite a diligent search made by me/us in this behalf.
- 3. I/We \_\_\_\_\_\_Sudhir Saksena \_\_\_\_\_\_further swear /solemnly declare that the said securities are not sold or pledged or deposited by way of security to any person/company.
- 4. I/We \_\_\_\_\_Sudhir Saksena \_\_\_\_\_\_hereby further swear / solemnly declare that if, after the duplicate share certificate(s) is / are issued to us as aforesaid, the original security(ies) certificate(s) is / are at any time subsequently, found, recovered or traced by us or by anyone on our behalf, then, we unconditionally undertake not to deal with the said original share certificate(s) in any manner whatsoever (whether by physical transfer or dematerialization or as security or pledge) and further unconditionally undertake to promptly surrender the original share certificate(s) to the RTA / Company, for cancellation.
- 5. I/We \_\_\_\_\_\_Sudhir Saksena \_\_\_\_\_\_am/are making the above solemn declaration on oath with full knowledge of the fact that in the event the original security (ies) certificate(s) issued is /are found, recovered and traced by me/us and instead of surrendering the same is / are dealt with by me/us as aforesaid, the Company will be at liberty to adopt civil and / or criminal proceedings against me/us for my/our failure to promptly surrender the original security (ies) certificate(s), for cancellation and for breach of my/our solemn declaration and undertaking not to deal with the original security (ies) certificate(s) in any manner whatsoever as aforesaid at my/our entire risk as to cost and consequences.

Signature of all deponents:

## VERIFICATION

We hereby solemnly affirm and state that what is stated herein above is true to our knowledge and nothing has been concealed therein and that we are competent to contract and entitled to rights and benefits of the above mentioned securities.

(2)

Deponent(s) (1) S

(3)

Solemnly affirmed at

Chaziabed Signature of the Deponent(s): X

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Signed before me

Place: 2023. Date :

Signature of Notary with Official Seal of Notary & Regn. No.



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## INDIA NON JUDICIAL

## **Government of Uttar Pradesh**

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## Certificate No.

Certificate Issued Date Account Reference Unique Doc. Reference Purchased by Description of Document **Property Description** Consideration Price (Rs.) First Party Second Party Stamp Duty Paid By Stamp Duty Amount(Rs.)

### IN-UP84406567540449V

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13-Apr-2023 04:28 PM NEWIMPACC (SV)/ up14094904/ GHAZIABAD SADAR/ UP-GZB SUBIN-UPUP1409490463096725214433V SUDHIR SAKSENA Article 34 Indemnity Bond Not Applicable

SUDHIR SAKSENA Not Applicable SUDHIR SAKSENA 500 (Five Hundred only)



IN-UP84406567540449V



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# JD 0020152608



The authenticity of this Stamp certificate should be verified at 'www.shcilestamp.com' or using e-Stamp Mobile App of Stock Holding. Any discrepancy in the details on this Certificate and as available on the website / Mobile App renders it invalid.
 The onus of checking the legitimacy is on the users of the certificate.
 In case of any discrepancy please inform the Competent Authority.

#### Form-B

WDHIR SAKSENA

## INDEMNITY

#### [For issuance of duplicate securities]

Note: This indemnity is to be executed in the presence of a Public Notary / Gazetted Officer

[To be submitted in non-judicial stamp paper of appropriate value]

60

 I/We, \_\_\_\_Sudhir Saksena \_\_\_\_Son / daughter / spouse / of \_\_\_\_Rameshwar

 Dayal \_\_\_\_\_\_residing at \_\_\_S/O Rameshwar Dayal Saksena, 607-A, Sunbreeze

 Apartment-1, Plot no-3, Sector 5 Vaishali, Ghaziabad, I.E Sahibabad, Uttar Pradesh

 201010 \_\_\_\_\_\_having \_\_\_\_\_\_\_\_ Permanent \_\_\_\_\_Account \_\_\_\_\_\_\_ No \_\_\_\_\_\_\_ (s)

 \_\_\_\_\_\_AOAS9907E \_\_\_\_\_\_\_\_\_\_ do hereby solemnly affirm and state on oath as follows.

1. That I/we, am/are the sole/joint holder/s of the Securities in following folios. I/We request you to issue duplicate certificate(s) for securities, as detailed below in my/our name(s):

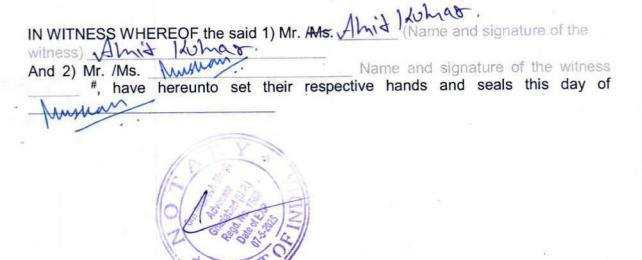
|    | Company Name                        | Folio No. | No. of securities<br>held | Security<br>Certificate<br>No. | Distinctive No.    |
|----|-------------------------------------|-----------|---------------------------|--------------------------------|--------------------|
| 1) | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 13240,                         | 869801- 869850     |
| 2) | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 13241,                         | 869851- 869900     |
| 3) | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 26773,                         | 1539810- 1539859   |
|    | Aravali Securities &<br>Finance Ltd | S0261     | 50 Shares                 | 56657,                         | 3029221- 3029270   |
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| Aravali Securities &<br>Finance Ltd | S0261 | 50 Shares | 202156, | 11499291- 11499340 |
|-------------------------------------|-------|-----------|---------|--------------------|
| Aravali Securities &<br>Finance Ltd | S0261 | 50 Shares | 202157, | 11499341- 11499390 |
| Aravali Securities &<br>Finance Ltd | S0261 | 50 Shares | 202158, | 11499391- 11499440 |
| Aravali Securities &<br>Finance Ltd | S0261 | 50 Shares | 202159, | 11499441- 11499490 |
| Aravali Securities &<br>Finance Ltd | S0261 | 50 Shares | 202160, | 11499491- 11499540 |
| Aravali Securities &<br>Finance Ltd | S0261 | 40 Shares | 202165  | 11499741- 11499780 |

\*\*In case of non-availability of Certificate Nos./Distinctive Nos./ Folio nos., security holder shall obtain the same from RTA.

- 2. That the above securities were acquired by me/us for valuable consideration out of my/our own investment/funds against allotment in Public Issue/allotment in Right Issue or acquired from the market/through inheritance in the year(s) .....
- 3. I/We hereby jointly and severely agree and undertake to indemnify and keep indemnified, saved, defended, harmless, the aforesaid Aravali Securities & Finance Ltd/ Skyline Financial Services Private Limited (Name of the Company/RTA) and its successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, issuing the said Duplicate Securities as herein above mentioned, to the undersigned.



Address of First holder / Applicant :

S/O Rameshwar Dayal Saksena, 607-A, Sunbreeze Apartment-1, Plot no-3, Sector 5 Vaishali, Ghaziabad, I.E Sahibabad, Uttar Pradesh \_\_\_\_\_ Pin code 201010

| Tel. No. | 9811266215          |   |
|----------|---------------------|---|
| Email id | saksena70@yahoo.com | - |
| Date     | 13/04/2022          |   |

Signature of All holder(s) / Applicant(s) :

## FOR OFFICE USE ONLY

Signature checked by:

at: <u>Signed before me</u> on: <u>13/04/2023</u>,

Signature of Notary /

Official stamp & seal of the Notary Magistrate/ Notary & Regn. No.:

KAR 2023 MA dentified that Shri antified by S Inclained and deciare Om Prakash Sin Notary Ghaziabad

